

Buss David H.
Form 4
October 03, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Buss David H.

(Last) (First) (Middle)

9333 BALBOA AVENUE

(Street)

SAN DIEGO, CA 92123

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

CUBIC CORP /DE/ [CUB]

3. Date of Earliest Transaction
(Month/Day/Year)

10/01/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

President, CGD

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	10/01/2018		M	(A) or (D) Amount 3,858 (1)	Price 11	3,858	D
Common Stock	10/01/2018		F	(A) or (D) Amount 1,337 (2)	\$ 72.29	2,521	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
number.**

SEC 1474
(9-02)

Edgar Filing: Buss David H. - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price or Range of Prices (Instr. 3)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(1)	10/01/2018		M		393		(3)	(3)	Common Stock	393	0
Restricted Stock Units	(1)	10/01/2018		M		287		(4)	(4)	Common Stock	287	0
Restricted Stock Units	(1)	10/01/2018		M		802		(5)	(5)	Common Stock	802	0
Restricted Stock Units	(1)	10/01/2018		M		1,358		(6)	(6)	Common Stock	1,358	0
Restricted Stock Units	(1)	10/01/2018		M		1,018		(7)	(7)	Common Stock	1,018	0

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Buss David H. 9333 BALBOA AVENUE SAN DIEGO, CA 92123			President, CGD	

Signatures

Claudia M. Strup, Attorney-in-fact for David H. Buss

10/03/2018

 **Signature of Reporting Person

Date _____

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Reflects the issuance of CUB common stock upon vesting of restricted stock units ("RSUs"). Each RSU grated at that time represented a contingent right to receive one share of CUB stock.

(2) Shares withheld by CUB to satisfy the minimum statutory tax withholding obligations that arose upon the vesting of the RSUs.

(3) This is the fourth and final of 4 vesting installments of RSU's granted to the Reporting Person on July 1, 2015.

This is the third of 4 vesting installments of RSU's granted to the Reporting Person on November 6, 2015. The remaining 287 shares from
(4) such grant shall vest in 1 final installment on October 1, 2019, subject to the Reporting Person's continuous service through such application vesting date.

This is the third of 4 vesting installments of RSU's granted to the Reporting Person on May 24, 2016. The remaining 801 shares from
(5) such grant shall vest in 1 final installment on October 1, 2019, subject to the Reporting Person's continuous service through such application vesting date.

This is the second of 4 vesting installments of RSU's granted to the Reporting Person on November 14, 2016. The remaining 2713 shares
(6) from such grant shall vest in 2 equal installments on October 1, 2019 and 2020, subject to the Reporting Person's continuous service through each application vesting date.

This is the first of 4 vesting installments of RSU's granted to the Reporting Person on November 27, 2017. The remaining 3054 shares
(7) from such grant shall vest in 3 equal installments on October 1, 2019, 2020 and 2021, subject to the Reporting Person's continuous service through each application vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.