#### THOMPSON JAMES T

Form 4

August 08, 2018

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

Common

Stock

1. Name and Address of Reporting Person \* THOMPSON JAMES T

(First)

(Street)

(State)

08/01/2018

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

(Zip)

HAWKINS INC [HWKN] 3. Date of Earliest Transaction

(Check all applicable)

2381 ROSEGATE

(Month/Day/Year)

X\_ Director 10% Owner Other (specify Officer (give title below)

08/01/2018

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ROSEVILLE, MN 55113

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

1,392

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Transaction(s) (Instr. 3 and 4)

13,748

Code V Amount

A

(D) Price \$0

(A)

or

A

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: THOMPSON JAMES T - Form 4

| 1. Title of Derivative | 2.<br>Conversion | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if | 4.<br>Transact | 5.<br>ionNumber | 6. Date Exer Expiration D |            | 7. Titl<br>Amou |          | 8. Price of Derivative | 9. Nu<br>Deriv |
|------------------------|------------------|--------------------------------------|-------------------------------|----------------|-----------------|---------------------------|------------|-----------------|----------|------------------------|----------------|
| Security               | or Exercise      |                                      | any                           | Code           | of              | (Month/Day                | /Year)     | Under           | lying    | Security               | Secui          |
| (Instr. 3)             | Price of         |                                      | (Month/Day/Year)              | (Instr. 8)     | Derivativ       | ve .                      |            | Securi          | ities    | (Instr. 5)             | Bene           |
|                        | Derivative       |                                      |                               |                | Securitie       | S                         |            | (Instr.         | 3 and 4) |                        | Owne           |
|                        | Security         |                                      |                               |                | Acquired        | l                         |            |                 |          |                        | Follo          |
|                        | •                |                                      |                               |                | (A) or          |                           |            |                 |          |                        | Repo           |
|                        |                  |                                      |                               |                | Disposed        | l                         |            |                 |          |                        | Trans          |
|                        |                  |                                      |                               |                | of (D)          |                           |            |                 |          |                        | (Instr         |
|                        |                  |                                      |                               |                | (Instr. 3,      |                           |            |                 |          |                        |                |
|                        |                  |                                      |                               |                | 4, and 5)       |                           |            |                 |          |                        |                |
|                        |                  |                                      |                               | Code V         | (A) (D)         | Date                      | Expiration | Title           | Amount   |                        |                |
|                        |                  |                                      |                               | Code v         | (A) (D)         | Exercisable               | Date       | Title           | or       |                        |                |
|                        |                  |                                      |                               |                |                 | Exercisable               | Date       |                 | Number   |                        |                |
|                        |                  |                                      |                               |                |                 |                           |            |                 | of       |                        |                |
|                        |                  |                                      |                               |                |                 |                           |            |                 | Shares   |                        |                |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |  |
| THOMPSON JAMES T               |               |           |         |       |  |  |  |
| 2381 ROSEGATE                  | X             |           |         |       |  |  |  |
| ROSEVILLE, MN 55113            |               |           |         |       |  |  |  |

## **Signatures**

/s/ Joshua L. Colburn,
Attorney-in-Fact
08/08/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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