## Edgar Filing: MOMENTA PHARMACEUTICALS INC - Form 4

MOMENTA PHARMACEUTICALS INC Form 4 November 06, 2015 **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading WHEELER CRAIG A Issuer Symbol **MOMENTA** (Check all applicable) PHARMACEUTICALS INC [MNTA] 10% Owner \_X\_ Director X\_Officer (give title Other (specify (Last) (First) (Middle) 3. Date of Earliest Transaction below) below) (Month/Day/Year) President C/O MOMENTA 11/05/2015 PHARMACEUTICALS, INC., 675 WEST KENDALL STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting CAMBRIDGE, MA 02142 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Transaction(A) or Disposed of (D) Securities Ownership Indirect Security (Month/Day/Year) Execution Date, if (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial any (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V (D) Price Amount Common \$ 11/05/2015  $M^{(1)}_{---}$ Α 248,104 D 41,667 Stock 16.18 \$18 Common 11/05/2015 S<sup>(1)</sup> 41,667 D 206,437 (4) D (3) Stock Craig Wheeler Common S<sup>(2)</sup> 11/05/2015 10,000 D \$18 177,314 (5) I Stock Revocable Trust

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Common Stock	1	150,000	I	Craig A. Wheeler 2014 Irrevocable Trust				
Reminder: Report on a separate line for each class of secur	ities beneficially owned directly or ind Persons who respond information contained required to respond u displays a currently v number.	d to the colled d in this form unless the for	are not m	SEC 1474 (9-02)				
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative2.3. Transaction Date (Month/Day/Year)3A. Deen Execution any (Month/D Month/D1. Title of Derivative Security3. Transaction Date (Month/Day/Year)3A. Deen Execution any (Month/D	n Date, if TransactionDerivative Code Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)				
	Code V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Stock Option \$ 16.18 11/05/2015 (Right to Buy)	M <u>(1)</u> 41,667	08/22/2010	08/22/2016	Common Stock	41,667			
Reporting Owners								

<b>Reporting Owner Name / Address</b>		Relationships				
	Director	10% Owner	Officer	Other		
WHEELER CRAIG A C/O MOMENTA PHARMACEUTICALS, INC 675 WEST KENDALL STREET CAMBRIDGE, MA 02142	· X		President			
Signatures						
/s/ Marie T. Washburn as attorney in fact	11/06/2015					
<u>**</u> Signature of Reporting Person	Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 14, 2013.
- (2) This sale was effected pursuant to a Rule 10b5-1 Craig Wheeler Revocable Trust trading plan adopted by the reporting person on June 14, 2013.

This transaction was executed in multiple trades at prices ranging from \$18.00 to \$18.015. The price reported above reflects the weighted(3) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

- (4) Excludes 6,282 shares previously held directly by Mr. Wheeler that were transferred to the Craig Wheeler Revocable Trust.
- (5) Reflects the transfer of 6,282 shares to the Craig Wheeler Revocable Trust that were previously held directly by Mr. Wheeler

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.