Edgar Filing: RUBINO MIKE - Form 4

| RUBINO MI Form 4 July 09, 2010 FORM Check thi if no long subject to Section 14 Form 4 ou Form 5 obligation may conti <i>See</i> Instru 1(b). | 14 UNITED ST is box ger 6. r Filed pursu Section 17(a) | ENT OF CHAN ant to Section 1 | shington IGES IN SECUF 6(a) of th tility Hol | , D.C. 20 BENEF RITIES le Securit ding Con | 549 ICIA ies E | LOWN Exchange y Act of | NERSHIP OF e Act of 1934, 1935 or Section | OMB Number: Expires: Estimated a burden hou response | | |
|--|---|---|--|--|----------------------|--|---|---|----------|--|
| | | | 2. Issuer Name and Ticker or Trading Symbol A123 SYSTEMS, INC. [AONE] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) C/O A123 S ARSENAL | (Month/I | 3. Date of Earliest Transaction (Month/Day/Year) 07/07/2010 | | | | Director 10% Owner X Officer (give title Other (specify below) below) Chief Financial Officer | | | | |
| | | | endment, Date Original onth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| (City) | (State) (Zi | ip) Tab | la I Nan I | Dominativo | Same | iting A age | Person | on Donoficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date 2 (Month/Day/Year) H | 140 | n Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership 7 Form: Direct Ir (D) or B Indirect (I) C | 7. Nature of | | |
| Common Stock | 07/07/2010 | | Code V M | Amount 5,000 | (D) A | Price \$ 0.21 | (Instr. 3 and 4) 24,783 | D | | |
| Common Stock | 07/07/2010 | | S <u>(1)</u> | 5,000 | D | \$ 8.375 (<u>3)</u> | 19,783 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number on f Derivati Securities Acquired (A) or Disposed o (D) (Instr. 3, 4, and 5) | e Expiration Date (Month/Day/Yea | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|--|-------------------------------------|--|-----------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Option (Right to Buy) | \$ 0.21 | 07/07/2010 | | М | 5,00 | 0 07/26/2005 <u>(2)</u> | 08/26/2014 | Common Stock | 5,000 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|-------------------------|-------|--|--|--|
| 1 8 | Director | 10% Owner | Officer | Other | | | |
| RUBINO MIKE C/O A123 SYSTEMS, INC. 321 ARSENAL STREET WATERTOWN, MA 02472 | | | Chief Financial Officer | | | | |
| 0. | | | | | | | |

Signatures

/s/ Michael Rubino 07/09/2010 <u>**</u>Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan.
- (2) 25% of the shares subject to the option vest on this date, and 6.25% of the shares subject to the option vest quarterly thereafter until the option is fully vested.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$8.27 to \$8.57, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities

(3) and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.