Johnson Roger Scott Form 4 December 23, 2009

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Johnson Roger Scott

4432 1ST ST.

(City)

Security

(Instr. 3)

2. Issuer Name and Ticker or Trading

Symbol

POWER EFFICIENCY CORP [PEFF]

(Last) (First) (Middle)

(Street)

(State)

(Month/Day/Year)

(Month/Day/Year)

08/12/2009

4. If Amendment, Date Original Filed(Month/Day/Year)

3. Date of Earliest Transaction

X\_ Officer (give title below)

Director

Issuer

Other (specify below) Chief Operating Officer

10% Owner

(Check all applicable)

5. Relationship of Reporting Person(s) to

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

PLEASANTON, CA 94566

1.Title of 2. Transaction Date 2A. Deemed

Execution Date, if

(Zip)

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct (D) or Indirect Beneficial (I) (Instr. 4)

Indirect Ownership (Instr. 4)

(9-02)

(A)

Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

4. 5. Number of TransactionDerivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of Underlying Securities (Instr. 3 and 4)

#### Edgar Filing: Johnson Roger Scott - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	(I	acquired ( r Dispose D) Instr. 3, 4 nd 5)	ed of				
				Code \	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Series C convertible Preferred Stock	\$ 0.4	08/12/2009		P	-	1,250		<u>(1)</u>	<u>(2)</u>	Common Stock	125,00
Common Stock Warrants	\$ 0.4	08/12/2009		P	6	52,500		<u>(1)</u>	08/11/2014	Common Stock	62,500

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

Johnson Roger Scott 4432 1ST ST. PLEASANTON, CA 94566

**Chief Operating Officer** 

## **Signatures**

R. Scott Johnson

10/15/2009

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Immediately
- (2) None
- (3) These warrants were part of a puchase of Series C Preferred Convertible Stock, as described above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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