### **IMMUNOMEDICS INC**

Form 5 July 20, 2016

## FORM 5

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Washington, D.C. 20549

Expires: January 31, 2005
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1.0

**OMB** 

Number:

response...

Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

Check this box if

no longer subject

to Section 16.

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported Form 4

30(h) of the Investment Company Act of 1940

Transactions Reported

1. Name and Address of Reporting Person * GOLDENBERG DAVID M			2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
(Last)	(First)	(First) (Middle) 3. Statement for Issuer's Fiscal Year Ended		(Check all applicable)		
			(Month/Day/Year)	X Director 10% Owner		
^			06/30/2016	X Officer (give title Other (specify below)		
C/O IMMUNOMEDICS, INC, 300				See Remarks		
THE AMERI	CAN ROA	.D		See Remarks		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Reporting		
				(check applicable line)		

### MORRIS PLAINS, ÂNJÂ 07950

\_X\_Form Filed by One Reporting Person \_\_Form Filed by More than One Reporting

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of (Month/Day/Year) Transaction Ownership Indirect Security Execution Date, if (A) or Disposed of (D) Securities Form: Direct (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Beneficial (Month/Day/Year) (Instr. 8) Owned at end (D) or Ownership of Issuer's Indirect (I) (Instr. 4) Fiscal Year (Instr. 4) (A) (Instr. 3 and or 4) Amount (D) Price Common 9,746 2,482,958 Â Â \$0 D 07/28/2015 A A4 Stock (1) (2) Common 2,479,388 Â D Â 07/28/2015 F4 3,570 D Stock 2.55 (2) See Common 9,747 Â 07/28/2015 A4 A \$0 3,300,599 Ι Footnote (1) Stock (3) Â Common 07/28/2015 F4 3,571 D 3,297,028 Ι See \$

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Stock						2.55			Footnote (3)
Common Stock	03/14/2016	Â	A4	29,240 (1)	A	\$0	2,508,628 (2)	D	Â
Common Stock	03/14/2016	Â	F4	10,711	D	\$ 2.65	2,497,917 (2)	D	Â
Common Stock	03/14/2016	Â	A4	29,240 (1)	A	\$0	3,326,268	I	See Footnote
Common Stock	03/14/2016	Â	F4	10,711	D	\$ 2.65	3,315,557	I	See Footnote (3)
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.			Persons w	SEC 2270 (9-02)					

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
	•				(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
									or	
					Date	Date	Expiration	n Title	Number	
					Exercisable Date	of				
					(A) (D)					
					(A) (D)				Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
GOLDENBERG DAVID M							
C/O IMMUNOMEDICS, INC	ÂΧ	â	See Remarks	â			
300 THE AMERICAN ROAD	АА	А	A See Remarks	A			
MORRIS PLAINS, Â NJÂ 07950							

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# **Signatures**

/s/ David M. O7/20/2016 Goldenberg

\*\*Signature of Reporting Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Represents the settlement of common stock underlying Performance Units that have vested in accordance with the terms of the
- (1) Performance-Based Restricted Stock Unit Agreement entered into on August 16, 2013 by and between the Company and each of the reporting person and his spouse, Ms. Cynthia L. Goldenberg, President and Chief Executive Officer of the Company.
- (2) Includes a total of 190,000 shares held as joint tenants by the reporting person and Ms. Goldenberg.
- Such shares are held by the reporting person's spouse, by various trusts established for the benefit of the reporting person and/or family (3) members of the reporting person, or by a majority-owned subsidiary of the Issuer, of which the reporting person is an officer. The reporting person disclaims beneficial ownership of these shares except to the extent of his pecuniary interests therein.

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### **Remarks:**

Chief Scientific Officer, Chief Patent Officer, and Chairman of the Board of Directors

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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