GROWLIFE, INC. Form 3

August 04, 2014

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Number: Expires:

January 31, 2005

0.5

**SECURITIES** 

Estimated average burden hours per response...

**OMB APPROVAL** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

 **SCOTT MARK E** 

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

07/31/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

GROWLIFE, INC. [PHOT]

C/O GROWLIFE, INC., Â 500

**UNION STREET, SUITE 406** 

(Street)

4. Relationship of Reporting Person(s) to Issuer

Filed(Month/Day/Year)

(Check all applicable)

Consulting CFO

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

5. If Amendment, Date Original

\_X\_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

SEATTLE, WAÂ 98101

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(Instr. 4)

1. Title of Derivative Security 2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

5. Conversion Ownership or Exercise Form of Derivative

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Date Expiration Exercisable Date

Title

Amount or Number of

Shares

Price of Derivative Security

Security: Direct (D)

or Indirect

(I)

						(Instr. 5)	
Option to purchase Common Stock	(1)	06/30/2019	Common Stock	10,000,000	\$ 0.07	D	Â
Option to purchase Common Stock	(2)	06/30/2019	Common Stock	2,000,000	\$ 0.07	D	Â
Option to purchase Common Stock	(3)	06/30/2019	Common Stock	2,000,000	\$ 0.07	D	Â
Option to purchase Common Stock	(4)	06/30/2019	Common Stock	2,000,000	\$ 0.07	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
SCOTT MARK E						
C/O GROWLIFE, INC.	Â	â	Consulting CFO	â		
500 UNION STREET, SUITE 406	A	Α	A Consuming CFO	A		
SEATTLE, WA 98101						

## **Signatures**

/s/ Mark Scott	08/04/2014
**Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Date Excercisable varies with the Option vesting dates. Option shares vest monthly over 3 years beginning one month after the 07/01/2014 Vesting Commencement Date. One thirty-sixth (1/36th) or 277,778 option shares will vest monthly. Option has a 5 year life and cashless exercise.
- (2) The Date Excercisable varies with the Option vesting date. Option shares vest immediately upon securing a market maker resulting in the Issuer's relisting on OTCBB. Option has a 5 year life and cashless exercise.
- (3) The Date Excercisable varies with the Option vesting date. Option shares vest immediately upon successful approval and effectiveness of the Issuer's Form S-1. Option has a 5 year life and cashless exercise.
- (4) The Date Excercisable varies with the Option vesting date. Option shares vest immediately upon the Issuer's resolution of the class action lawsuit. Option has a 5 year life and cashless exercise.

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#### **Remarks:**

On July 31, 2014, Mark Scott was engaged as Consulting Chief Financial Officer effective as of J Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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