Edgar Filing: BIOTIME INC - Form 8-K

BIOTIME INC Form 8-K November 09, 2015 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (date of earliest event reported): November 9, 2015

### BioTime, Inc.

(Exact name of registrant as specified in its charter)

California 1-12830 94-3127919

(Commission File Number) (IRS Employer

(State or other jurisdiction

of incorporation) Identification No.)

1301 Harbor Bay Parkway Alameda, California 94502

(Address of principal executive offices)

# (510) 521-3390

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

# Edgar Filing: BIOTIME INC - Form 8-K

Statements made in this Report that are not historical facts may constitute forward-looking statements that are subject to risks and uncertainties that could cause actual results to differ materially from those discussed. Such risks and uncertainties include but are not limited to those discussed in this report and in BioTime's other reports filed with the Securities and Exchange Commission. Words such as "expects," "may," "will," "anticipates," "intends," "plans," "believes, "estimates," and similar expressions identify forward-looking statements.

This Report and the accompanying exhibit shall be deemed "furnished" and not "filed" under the Securities Exchange Act of 1934, as amended.

## **Section 2 - Financial Information**

# Item 2.02 - Results of Operations and Financial Condition

On November 9, 2015 BioTime, Inc. issued a press release announcing its financial results for the three and nine months ended September 30, 2015. A copy of the press release is attached as Exhibit 99.1, which, in its entirety, is incorporated herein by reference.

## Section 9 - Financial Statements and Exhibits

#### **Item 9.01 - Financial Statements and Exhibits**

## Exhibit Number Description

99.1 Press release dated November 9, 2015

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

# BIOTIME, INC.

Date: November 9, 2015 By: /s/ Robert W. Peabody

Senior Vice President and Chief Financial Officer

#### Exhibit Number Description

99.1 Press release dated November 9, 2015

2