Edgar Filing: ASBURY AUTOMOTIVE GROUP INC - Form 3

ASBURY AUTOMOTIVE GROUP INC Form 3 July 21, 2015 FORM 3 UNITED STATES SECU

RM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB 3235-0104 Number: January 31, 2005 Estimated average burden hours per response... 0.5

6. Individual or Joint/Group

(Print or Type Responses)

 Name and Address of Reporting Person <u>*</u> Â Stax William Frederick 			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol ASBURY AUTOMOTIVE GROUP INC [ABG]				
(Last)	(First)	(Middle)	07/15/2015	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)			

C/O ASBURY AUTOMOTIVE GROUP, INC., 2905 PREMIERE PARKWAY NW, SUITE 300

(Street)

(State)

(Zip)

DULUTH, GAÂ 30097

(City)

1 Title of Committee

Filing(Check Applicable Line) _X_ Form filed by One Reporting Person _____ Form filed by More than One Reporting Person **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 3. 4. Nature of Indirect Beneficial

10% Owner

_ Other

(Check all applicable)

(give title below) (specify below)

Controller & CAO

Director

_X__Officer

1. Title of Security	2. Amount of Securities	3.	4. Nature of Indirect Beneficial
(Instr. 4)	Beneficially Owned	Ownership	Ownership
	(Instr. 4)	Form:	(Instr. 5)
		Direct (D)	
		or Indirect	
		(I)	
		(Instr. 5)	
Common Stock	84	D	Â
Common Stock (1)	150 <u>(1)</u>	D	Â
Common Stock (2)	210 <u>(2)</u>	D	Â
Common Stock (3)	321 <u>(3)</u>	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Performance Share Units (4)	(4)	(4)	Common Stock	203	\$ <u>(4)</u>	D	Â
Performance Share Units (5)	(5)	(5)	Common Stock	305	\$ <u>(5)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
I. S.	Director	10% Owner	Officer	Other	
Stax William Frederick C/O ASBURY AUTOMOTIVE GROUP, INC. 2905 PREMIERE PARKWAY NW, SUITE 300 DULUTH, GA 30097	Â	Â	Controller & CAO	Â	
Signatures					
/s/ George A. Villasana, Attorney In Fact 07/2	1/2015				

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents unvested shares of restricted stock granted on February 20, 2013. Such shares will vest on February 20, 2016.
- (2) Represents unvested shares of restricted stock granted on February 5, 2014. Such shares will vest in equal amounts on February 5, 2016 and February 5, 2017.
- (3) Represents unvested shares of restricted stock granted on February 5, 2015. Such shares will vest in equal amounts on February 5, 2016, February 5, 2017 and February 5, 2018.
- (4) Represents unvested Performance Share Units granted to the Reporting Person on February 20, 2013. Each performance share unit converts into one share of the Issuer's common stock upon vesting. Such units will vest on February 20, 2016.

Represents unvested Performance Share Units granted to the Reporting Person on February 5, 2014. Each performance share unit (5) converts into one share of the Issuer's common stock upon vesting. Such units will vest in equal amounts on February 5, 2016 and

February 5, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.