ADESTO TECHNOLOGIES Corp

Edgar Filing: ADESTO TECHNOLOGIES Corp - Form SC 13G/A
"Rule 13d-1(b)
"Rule 13d-1(c)
x Rule 13d-1(d)
*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.
The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).
(Continued on following pages)
Page 1 of 12 Pages
Exhibit Index Contained on Page 11

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1	NAME OF REPORTING PERSON ATA Ventures II, L.P. ("ATA II") CHECK THE APPROPRIATE BOX IF				
2	A MEMBER OF A GROUP* (a) " (b) x				
3	SEC USE ONLY				
	CITIZENSHIP OR PLACE OF				
4	ORGANIZATION				
	Delaware				
NUMBER OF	SOLE VOTING POWER				
SHARES	<sup>3</sup> -0-				
BENEFICIAL	LY 6 SHARED VOTING POWER				
OWNED BY	-0-				
EACH	7 SOLE DISPOSITIVE POWER				
REPORTING	′-0-				
PERSON	<sub>8</sub> SHARED DISPOSITIVE POWER				
WITH	°-0-				
	AGGREGATE AMOUNT				
9	BENEFICIALLY OWNED BY EACH				
9	REPORTING PERSON				
	-0-				
	CHECK BOX IF THE AGGREGATE				
10	AMOUNT IN ROW 9 EXCLUDES				
	CERTAIN SHARES* "				
	PERCENT OF CLASS REPRESENTED				
11	BY AMOUNT IN ROW 9				
	0.0%				
12	TYPE OF REPORTING PERSON*				
12	PN				

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	NAME OF REPORTING PERSON				
1	ATA Affiliates Fund II, L.P. ("ATA				
	Affiliates II")				
	CHECK THE APPROPRIATE BOX IF				
2	A MEMBER OF A GROUP*				
	(a) " (b) x				
3	SEC USE ONLY				
	CITIZENSHIP OR PLACE OF				
4	ORGANIZATION				
	Delaware				
NUMBER OF	SOLE VOTING POWER				
SHARES	3-0-				
BENEFICIAI	LLY 6 SHARED VOTING POWER				
OWNED BY	0-0-				
EACH	7 SOLE DISPOSITIVE POWER				
REPORTING					
PERSON	8 SHARED DISPOSITIVE POWER				
WITH	°-0-				
	AGGREGATE AMOUNT				
9	BENEFICIALLY OWNED BY EACH				
9	REPORTING PERSON				
	-0-				
	CHECK BOX IF THE AGGREGATE				
10	AMOUNT IN ROW (9) EXCLUDES				
	CERTAIN SHARES* "				
	PERCENT OF CLASS REPRESENTED				
11	BY AMOUNT IN ROW 9				
	0.0%				
12	TYPE OF REPORTING PERSON*				
14	PN				

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	NAME OF DEPOPTING DEDCON
1	NAME OF REPORTING PERSON
1	ATA Investment Fund II, L.P. ("ATA
	Investment II")
2	CHECK THE APPROPRIATE BOX IF
2	A MEMBER OF A GROUP*
	(a) " (b) x
3	SEC USE ONLY
	CITIZENSHIP OR PLACE OF
4	ORGANIZATION
	Delaware
NUMBER OF	SOLE VOTING POWER
SHARES	-0-
BENEFICIAI	LLY 6 SHARED VOTING POWER
OWNED BY	· 0
EACH	SOLE DISPOSITIVE POWER
REPORTING	' -0-
PERSON	<sub>8</sub> SHARED DISPOSITIVE POWER
WITH	8-0-
	AGGREGATE AMOUNT
9	BENEFICIALLY OWNED BY EACH
9	REPORTING PERSON
	-0-
	CHECK BOX IF THE AGGREGATE
10	AMOUNT IN ROW (9) EXCLUDES
	CERTAIN SHARES* "
	PERCENT OF CLASS REPRESENTED
11	BY AMOUNT IN ROW 9
	0.0%
10	TYPE OF REPORTING PERSON*
12	PN

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	NAME OF REPORTING PERSON			
1	ATA Management II, LLC ("ATA II			
	GP")			
	CHECK THE APPROPRIATE BOX IF			
2	A MEMBER OF A GROUP*			
	(a) " (b) x			
3	SEC USE ONLY			
	CITIZENSHIP OR PLACE OF			
4	ORGANIZATION			
	Delaware			
NUMBER OI	SOLE VOTING POWER			
SHARES	3-0-			
BENEFICIALLY 6 SHARED VOTING POWER				
OWNED BY	0-0-			
EACH	7 SOLE DISPOSITIVE POWER			
REPORTING				
PERSON	<sub>8</sub> SHARED DISPOSITIVE POWER			
WITH	8-0-			
	AGGREGATE AMOUNT			
9	BENEFICIALLY OWNED BY EACH			
9	REPORTING PERSON			
	-0-			
	CHECK BOX IF THE AGGREGATE			
10	AMOUNT IN ROW (9) EXCLUDES			
	CERTAIN SHARES* "			
	PERCENT OF CLASS REPRESENTED			
11	BY AMOUNT IN ROW 9			
	0.0%			
12	TYPE OF REPORTING PERSON*			
1.4	00			

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1

NAME OF REPORTING PERSON

T. Peter Thomas ("Thomas")

	CHECK THE APPROPRIATE BOX IF
2	A MEMBER OF A GROUP*
	(a) " (b) [X]
3	SEC USE ONLY
	CITIZENSHIP OR PLACE OF
4	ORGANIZATION
	U.S. Citizen
NUMBER O	F SOLE VOTING POWER 5-0-
SHARES	6 SHARED VOTING POWER
BENEFICIA	LLY <sub>7</sub> SOLE DISPOSITIVE POWER
OWNED BY	,
EACH	
REPORTING	3
	8 SHARED DISPOSITIVE POWER
PERSON	8-0-
WITH	
	AGGREGATE AMOUNT
0	BENEFICIALLY OWNED BY EACH
9	REPORTING PERSON
	-0-
	CHECK BOX IF THE AGGREGATE
10	AMOUNT IN ROW (9) EXCLUDES
	CERTAIN SHARES*
	PERCENT OF CLASS REPRESENTED
11	BY AMOUNT IN ROW 9
	0.0%
	TYPE OF REPORTING PERSON*
12	IN

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1

NAME OF REPORTING PERSON

1	Michio Fujimura ("Fujimura")			
2	CHECK THE APPROPRIATE BOX IF			
2	A MEMBER OF A GROUP*			
	(a) " (b) x			
3	SEC USE ONLY			
	CITIZENSHIP OR PLACE OF			
4	ORGANIZATION			
	Japanese Citizen			
NUMBER O	F 5 SOLE VOTING POWER 5-0-			
SHARES	-U- SHADED VOTING DOWED			
SHAKES	6 SHARED VOTING POWER			
BENEFICIA	LLY_SOLE DISPOSITIVE POWER			
	LLY 7 SOLE DISPOSITIVE POWER 7-0-			
OWNED BY				
EACH				
REPORTING				
	8 SHARED DISPOSITIVE POWER			
PERSON	8-0-			
WITH				
	AGGREGATE AMOUNT			
9	BENEFICIALLY OWNED BY EACH			
9	REPORTING PERSON			
	-0-			
	CHECK BOX IF THE AGGREGATE			
10	AMOUNT IN ROW (9) EXCLUDES			
	CERTAIN SHARES* "			
	PERCENT OF CLASS REPRESENTED			
11	BY AMOUNT IN ROW 9			
	0.0%			
12	TYPE OF REPORTING PERSON*			
14	IN			

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1

NAME OF REPORTING PERSON

Hatch Graham ("Graham")

	CHECK THE APPROPRIATE BOX IF
2	A MEMBER OF A GROUP*
	(a) " (b) x
3	SEC USE ONLY
	CITIZENSHIP OR PLACE OF
4	ORGANIZATION
	U.S. Citizen
NUMBER O	F 5 SOLE VOTING POWER
SHARES	6 SHARED VOTING POWER
BENEFICIA	LLY 7 SOLE DISPOSITIVE POWER 7-0-
OWNED BY	
EACH	
REPORTING	j
	8 SHARED DISPOSITIVE POWER
PERSON	8-0-
WITH	
	AGGREGATE AMOUNT
9	BENEFICIALLY OWNED BY EACH
9	REPORTING PERSON
	-0-
	CHECK BOX IF THE AGGREGATE
10	AMOUNT IN ROW (9) EXCLUDES
	CERTAIN SHARES* "
	PERCENT OF CLASS REPRESENTED
11	BY AMOUNT IN ROW 9
	0.0%
12	TYPE OF REPORTING PERSON*
12	IN

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(iii)

This Amendment No. 1 amends the Statement on Schedule 13G previously filed by ATA Ventures II, L.P., a Delaware limited partnership ("ATA II"), ATA Affiliates Fund II, L.P., a Delaware limited partnership ("ATA Affiliates II"), ATA Investment Fund II, L.P. ("ATA Investment II"), a Delaware limited partnership, ATA Management II, LLC, a Delaware limited liability company ("ATA II GP"), T. Peter Thomas ("Thomas"), Michio Fujimura ("Fujimura") and Hatch Graham ("Graham"). The foregoing entities and individuals are collectively referred to as the "Reporting Persons." Only those items as to which there has been a change are included this Amendment No. 1.

#### ITEM 4. OWNERSHIP

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a)	Amount beneficially owned:		
(a)	See Row 9 of cover page for each Reporting Person.		
(b)	Percent of Class:  See Row 11 of cover page for each Reporting Person.		
(c)	Number of shares as to which such person has:		
(i)	Sole power to vote or to direct the vote:  See Row 5 of cover page for each Reporting Person.		
(ii)	Shared power to vote or to direct the vote:		
See Row 6 of cover page for each Reporting Person.			

Sole power to dispose or to direct the disposition of:

See Row 7 of cover page for each Reporting Person.

Shared power to dispose or to direct the disposition of:

(iv)

See Row 8 of cover page for each Reporting Person.

### ITEM 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following: x Yes

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SIGNATURES		
After reasonable inquiry and to the best of my knowledge and belief, I cer statement is true, complete and correct.	tify th	nat the information set forth in this
Date: January 19, 2017		
Entities: ATA Ventures II, L.P., by its General Partner ATA Management II, LLC		
ATA Affiliates Fund II, L.P., by its General Partner ATA Management II, LLC		
ATA Investment Fund II, L.P., by its General Partner ATA Management II, LLC		
	Ву:	/s/ T. Peter Thomas T. Peter Thomas, Managing Director
	Ву:	/s/ Michio Fujimura Michio Fujimura, Managing Directo
	By:	/s/ Hatch Graham Hatch Graham, Managing Director
Individuals:		/s/ T. Peter Thomas

T. Peter Thomas

/s/ Michio Fujimura Michio Fujimura

/s/ Hatch Graham Hatch Graham

The original statement shall be signed by each person on whose behalf the statement is filed or his authorized representative. If the statement is signed on behalf of a person by his authorized representative other than an executive officer or general partner of the filing person, evidence of the representative's authority to sign on behalf of such person shall be filed with the statement, provided, however, that a power of attorney for this purpose which is already on file with the Commission may be incorporated by reference. The name and any title of each person who signs the statement shall be typed or printed beneath his signature.

*NOTE*: Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. *See* Rule 13d-7 for

other parties for whom copies are to be sent.

Attention: Intentional misstatements or omissions of fact constitute Federal criminal violations (See 18 U.S.C. 1001)

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### **EXHIBIT INDEX**

Found on Sequentially

Exhibit Numbered Page

Exhibit A: Agreement of Joint Filing 12

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#### exhibit A

#### Agreement of Joint Filing

The undersigned hereby agree that a single Schedule 13G (or any amendment thereto) relating to the Common Stock of Adesto Technologies Corporation shall be filed on behalf of each of the undersigned and that this Agreement shall be filed as an exhibit to such Schedule 13G.

Date: January 19, 2017

#### **Entities:**

ATA Ventures II, L.P., by its General Partner ATA Management II, LLC

ATA Affiliates Fund II, L.P., by its General Partner ATA Management II, LLC

ATA Investment Fund II, L.P., by its General Partner ATA Management II, LLC

By: /s/ T. Peter Thomas

T. Peter Thomas, Managing Director

By: /s/ Michio Fujimura

Michio Fujimura, Managing Director

By: /s/ Hatch Graham

Hatch Graham, Managing Director

Individuals:

/s/ T. Peter Thomas T. Peter Thomas

/s/ Michio Fujimura Michio Fujimura

/s/ Hatch Graham Hatch Graham