### Edgar Filing: EXIDE TECHNOLOGIES - Form 3

#### **EXIDE TECHNOLOGIES**

Form 3 May 11, 2015

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

D. E. Shaw Galvanic

(Last)

Portfolios, L.L.C.

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year) 04/30/2015

(First) (Middle)

EXIDE TECHNOLOGIES [XIDEO]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

See footnotes 1, 2, and 3

5. If Amendment, Date Original

Filed(Month/Day/Year)

1166 AVENUE OF THE AMERICAS. NINTH FLOOR

(Street)

Director Officer

\_X\_ 10% Owner \_X\_ Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting

Person

\_X\_ Form filed by More than One

Reporting Person

NEW YORK. NYÂ 10036

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Common Stock, par value \$0.01

761,750

 $D^{(1)(2)(3)}$  Â

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(Instr. 4)

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise Price of

6. Nature of Indirect 5. Beneficial Ownership Form of Ownership

(Instr. 5)

Derivative Derivative Security:

1. Title of Derivative Security 2. Date Exercisable and **Expiration Date** 

(Instr. 4)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)
7% Second Lien Senior Secured Convertible PIK Notes due 2025	04/30/2015	04/30/2025	Common Stock, par value \$0.01	3,411,867	\$ (4)	$D_{\underline{(1)}} \underline{(2)} \underline{(3)} \hat{A}$

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
reporting o where runner requires	Director	10% Owner	Officer	Other	
D. E. Shaw Galvanic Portfolios, L.L.C. 1166 AVENUE OF THE AMERICAS NINTH FLOOR NEW YORK, NY 10036	Â	ÂΧ	Â	See footnotes 1, 2, and 3	
D. E. Shaw Manager II, L.L.C. 1166 AVENUE OF THE AMERICAS NINTH FLOOR NEW YORK, NY 10036	Â	ÂX	Â	See footnotes 1, 2, and 3	
D. E. Shaw Adviser II, L.L.C. 1166 AVENUE OF THE AMERICAS NINTH FLOOR NEW YORK, NY 10036	Â	ÂΧ	Â	See footnotes 1, 2, and 3	
D. E. SHAW & CO, L.L.C. 1166 AVENUE OF THE AMERICAS NINTH FLOOR NEW YORK, NY 10036	Â	ÂX	Â	See footnotes 1, 2, and 3	
D. E. SHAW & CO, L.P. 1166 AVENUE OF THE AMERICAS NINTH FLOOR NEW YORK, NY 10036	Â	ÂX	Â	See footnotes 1, 2, and 3	
SHAW DAVID E 1166 AVENUE OF THE AMERICAS NINTH FLOOR NEW YORK, NY 10036	Â	ÂX	Â	See footnotes 1, 2, and 3	

# **Signatures**

/s/ Nathan Thomas, Authorized Signatory, D. E. Shaw Galvanic Portfolios,		
L.L.C.		
**Signature of Reporting Person	Date	
/s/ Nathan Thomas, Authorized Signatory, D. E. Shaw Manager II, L.L.C.		
**Signature of Reporting Person	Date	
	05/11/2015	

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/s/ Nathan Thomas, Chief Compliance Officer, D. E. Shaw Adviser II, L.L.C.

**Signature of Reporting Person	Date	
/s/ Nathan Thomas, Authorized Signatory, D. E. Shaw & Co., L.L.C.	05/11/2015	
**Signature of Reporting Person	Date	
/s/ Nathan Thomas, Chief Compliance Officer, D. E. Shaw & Co., L.P.	05/11/2015	
**Signature of Reporting Person	Date	
/s/ Nathan Thomas, Attorney-in-Fact for David E. Shaw		
**Signature of Reporting Person	Date	

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Common Stock, par value \$0.01 (the "Shares"), and the 7% Second Lien Senior Secured Convertible PIK Notes due 2025 (the "Bonds," and, collectively the "Securities") reported in this Form 3 are directly held by D. E. Shaw Galvanic Portfolios, L.L.C. ("Galvanic Portfolios"), which holds 761,750 Shares and a principal amount of 29,852,722 of the Bonds, and may be deemed to be indirectly held by the other Reporting Persons.
- D. E. Shaw Adviser II, L.L.C. ("Adviser II"), as investment adviser to Galvanic Portfolios; D. E. Shaw Manager II, L.L.C. ("Manager II"), as the manager to Galvanic Portfolios; D. E. Shaw & Co., L.P. ("DESCO LP"), as managing member to Adviser II; D. E. Shaw & Co., L.L.C. ("DESCO LLC"), as the managing member of Manager II; and Mr. David E. Shaw ("David E. Shaw"), as president and sole shareholder of D. E. Shaw & Co., Inc. ("DESCO Inc."), which is the general partner of DESCO LP, and as president and sole shareholder of D. E. Shaw & Co. II, Inc. ("DESCO II Inc."), which is the managing member of DESCO LLC, may be deemed to be the beneficial
- In accordance with instruction 5(b)(iv), the entire number of securities of the Issuer that may be deemed to be beneficially owned by Galvanic Portfolios, Manager II, Adviser II, DESCO LLC, DESCO LP, and David E. Shaw is reported herein. Each of Manager II, Adviser II, DESCO LLC, DESCO LP, and David E. Shaw disclaims any beneficial ownership of any security listed in this Form 3, except to the extent of any pecuniary interest therein.

owners of the securities reported in this Form 3 for purposes of Rule 16a-1(a) of the Securities Exchange Act of 1934.

(4) The initial conversion price shall be \$1 of principal amount divided by the conversion rate of 0.11429. The conversion rate is subject to adjustment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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