Pomeroy Robert D. Jr. Form 4 December 06, 2012

December (	06, 2012											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL				
~· ·		SIAIL		ashingto				OMMINISSION	OMB Number:	3235-0287		
Check t if no los	nger	MENT O	E CITA	NOTE IN	A DENIE	EICI	AL OWN	JEDCILID OF	Expires:	January 31, 2005		
subject Section Form 4 Form 5 obligati may co	to STATES 116. or Filed pu ions ntinue.	20(h) at the Investment Commence A at at 1040								Estimated average burden hours per response 0.5		
See Inst 1(b).	truction	50(11)	or the r	ii v estillei	it comp	uiij 1	101 01 17 1	O				
(Print or Type	e Responses)											
1. Name and Address of Reporting Person * Pomeroy Robert D. Jr.			2. Issuer Name and Ticker or Trading Symbol Horizon Technology Finance Corp					5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last)	(First) (	(Middle)	[HRZ]	NJ of Earliest '	Transactio	on		_X_ Director	109	% Owner		
312 FARMINGTON AVENUE			(Month/Day/Year) 12/05/2012					X Officer (give title Other (specify below)  Chief Executive Officer				
FARMING	(Street) GTON, CT US 06	032		nendment, I onth/Day/Ye	_	nal		6. Individual or Jo Applicable Line) _X_ Form filed by C Form filed by M Person	one Reporting F	Person		
(City)	(State)	(Zip)	Tal	ole I - Non	-Derivati	ve Seci	urities Acaı	uired, Disposed of	. or Beneficia	ally Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/D	ed Date, if	3. Transacti Code (Instr. 8)	4. Secur	ities A osed of , 4 and (A) or	cquired (A)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock						, (2)		52,641	I	By HTF-CHF Holdings LLC (1)		
Common Stock								434.037	I	As custodian for grandchild		
Common Stock	12/05/2012			P	500	A	\$ 13.9785	5,079.13	D			

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Title	and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	ctionNumber	Expiration Da	ate	Amour	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	<ol><li>Derivative</li></ol>	e		Securit	ties	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date Exercisable	Expiration Date	Title N	or	
									Number	
									of	
				Code	V (A) (D)				Shares	

## **Reporting Owners**

Reporting Owner Name / Address	oorting Owner Name / Address				
	Director	10% Owner	Officer	Other	

Pomeroy Robert D. Jr.

312 FARMINGTON AVENUE X Chief Executive Officer

FARMINGTON, CT US 06032

## **Signatures**

/s/ Robert D. 12/06/2012 Pomeroy, Jr.

\*\*Signature of Reporting Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein. The reported securities are owned directly by HTF-CHF Holdings LLC of which Reporting Person owns 33%.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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