Kogan Yakov Form 4 November 08, 2011

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

Number:

3235-0287 January 31,

2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per 0.5

Expires:

response...

Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Kogan Yakov

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

**CLEVELAND BIOLABS INC** 

(Check all applicable)

[CBLI]

(Last)

(Middle)

3. Date of Earliest Transaction

10% Owner \_X\_\_ Director

COO, Secretary

(Month/Day/Year) 11/07/2011

X\_ Officer (give title Other (specify below)

C/O CLEVELAND BIOLABS. INC., 73 HIGH STREET, SUITE

(First)

(Street)

280

4. If Amendment, Date Original

(Instr. 8)

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

below)

BUFFALO,, NY 14203

(City) (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(Month/Day/Year) Execution Date, if

2. Transaction Date 2A. Deemed

(Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D)

(Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Indirect Form: Direct (D) or Beneficial Indirect (I) Ownership

(Instr. 4)

Following Reported

Transaction(s)

(Instr. 3 and 4)

Price Code V Amount (D)

(A)

Common

Stock, par

11/07/2011 value

Α 1.638 \$0 646,138 A

I Spouse (2)

(Instr. 4)

\$0.005 per share (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (Right to Buy) (3)	\$ 3.03	11/07/2011		A	5,000	11/07/2011	11/06/2021	Common Stock	5,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Kogan Yakov						
C/O CLEVELAND BIOLABS, INC.	X		COO,			
73 HIGH STREET, SUITE 280			Secretary			
BUFFALO,, NY 14203						

# **Signatures**

/s/ Yakov Kogan 11/08/2011

\*\*Signature of Person Date

Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On November 7, 2011, with the approval of the Compensation Committee of Cleveland BioLabs, Inc. (the "Company"), Leah Brownlee was granted these shares of common stock as a quarterly bonus. The shares were issued at a measurement price of \$2.78 per share. See Note 2 for details on Ms. Brownlee's relationship with the reporting person.
- Leah Brownlee, who was hired as an employee of the Company effective March 1, 2010, is the spouse of the reporting person.

  The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 under the Securities Exchange Act of 1934, as amended, or for any other purpose.
- (3) The Compensation Committee approved the grant of these stock options to Leah Brownlee on October 27, 2011, and the grant was finalized and made on November 7, 2011 pursuant to an option agreement that was executed on such date. See Note 2 for

Reporting Owners 2

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details on Ms. Brownlee's relationship with the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.