VANGUARD VII L P

Form 4 April 04, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

OMB APPROVAL

Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * VANGUARD VII L P

2. Issuer Name and Ticker or Trading Symbol

Issuer

VOCERA COMMUNICATIONS, INC. [VCRA]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year) 04/02/2012

Director Officer (give title below)

_X__ 10% Owner _ Other (specify

C/O VANGUARD VENTURES, P.O. BOX 20068

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

SAN JOSE, CA 95160

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative S	ecurit	ies Acq	quired, Disposed	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securitie or(A) or Disp (Instr. 3, 4	osed o	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/02/2012		C	960,944	A	(1)	960,944	D (2)	
Common Stock	04/02/2012		C	91,267	A	(1)	91,267	I	By Vanguard VII-A, L.P.
Common Stock	04/02/2012		С	31,305	A	(1)	31,305	I	By Vanguard VII Accredited Affiliates

								Fund, L.P. (4)
Common Stock	04/02/2012	C	14,271	A	(1)	14,271	I	By Vanguard VII Qualified Affiliates Fund, L.P.
Common Stock	04/02/2012	C	767,847	A	<u>(6)</u>	1,728,791	D (2)	
Common Stock	04/02/2012	С	72,927	A	<u>(6)</u>	164,194	I	By Vanguard VII-A, L.P.
Common Stock	04/02/2012	С	25,014	A	<u>(6)</u>	56,319	I	By Vanguard VII Accredited Affiliates Fund, L.P.
Common Stock	04/02/2012	С	11,403	A	<u>(6)</u>	25,674	I	By Vanguard VII Qualified Affiliates Fund, L.P.
Common Stock	04/02/2012	C	328,770	A	<u>(6)</u>	2,057,561	D (2)	
Common Stock	04/02/2012	С	31,225	A	<u>(6)</u>	195,419	I	By Vanguard VII-A, L.P.
Common Stock	04/02/2012	С	10,710	A	<u>(6)</u>	67,029	Ĭ	By Vanguard VII Accredited Affiliates Fund, L.P. (4)
Common Stock	04/02/2012	С	4,882	A	<u>(6)</u>	30,556	I	By Vanguard VII Qualified

								Affiliates Fund, L.P.		
Common Stock	04/02/2012	C	92,688	A	<u>(6)</u>	2,150,249	D (2)			
Common Stock	04/02/2012	С	8,803	A	<u>(6)</u>	204,222	I	By Vanguard VII-A, L.P.		
Common Stock	04/02/2012	С	3,019	A	<u>(6)</u>	70,048	I	By Vanguard VII Accredited Affiliates Fund, L.P.		
Common Stock	04/02/2012	С	1,376	A	<u>(6)</u>	31,932	I	By Vanguard VII Qualified Affiliates Fund, L.P.		
Common Stock	04/02/2012	C	117,257	A	<u>(6)</u>	2,267,506	D (2)			
Common Stock	04/02/2012	С	11,136	A	<u>(6)</u>	215,358	I	By Vanguard VII-A, L.P.		
Common Stock	04/02/2012	С	3,819	A	<u>(6)</u>	73,867	I	By Vanguard VII Accredited Affiliates Fund, L.P.		
Common Stock	04/02/2012	С	1,741	A	<u>(6)</u>	33,673	I	By Vanguard VII Qualified Affiliates Fund, L.P.		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474										

information contained in this form are not

(9-02)

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securitie Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer es Expiration I (Month/Day	Date	7. Title and Am Underlying Sec (Instr. 3 and 4)
				C l. V	(A) (D)	Date Exercisable	Expiration Date	Title
Series B Convertible Preferred Stock	<u>(1)</u>	04/02/2012		Code V	(A) (D) 524,98	66 (1)	<u>(1)</u>	Common Stock
Series B Convertible Preferred Stock	(1)	04/02/2012		С	49,862	2 (1)	<u>(1)</u>	Common Stock
Series B Convertible Preferred Stock	<u>(1)</u>	04/02/2012		С	17,103	3 (1)	<u>(1)</u>	Common Stock
Series B Convertible Preferred Stock	<u>(1)</u>	04/02/2012		С	7,797	(1)	<u>(1)</u>	Common Stock
Series C Convertible Preferred Stock	<u>(6)</u>	04/02/2012		С	767,84	.7 <u>(6)</u>	<u>(6)</u>	Common Stock
Series C Convertible Preferred Stock	<u>(6)</u>	04/02/2012		С	72,92	7 (6)	<u>(6)</u>	Common Stock
Series C Convertible Preferred	<u>(6)</u>	04/02/2012		С	25,014	4 (6)	<u>(6)</u>	Common Stock

Stock

Series C Convertible Preferred Stock	<u>(6)</u>	04/02/2012	С	11,403	<u>(6)</u>	<u>(6)</u>	Common Stock
Series D Convertible Preferred Stock	<u>(6)</u>	04/02/2012	С	328,770	<u>(6)</u>	<u>(6)</u>	Common Stock
Series D Convertible Preferred Stock	<u>(6)</u>	04/02/2012	С	31,225	<u>(6)</u>	<u>(6)</u>	Common Stock
Series D Convertible Preferred Stock	<u>(6)</u>	04/02/2012	С	10,710	<u>(6)</u>	<u>(6)</u>	Common Stock
Series D Convertible Preferred Stock	<u>(6)</u>	04/02/2012	С	4,882	<u>(6)</u>	<u>(6)</u>	Common Stock
Series E Convertible Preferred Stock	<u>(6)</u>	04/02/2012	С	92,688	<u>(6)</u>	<u>(6)</u>	Common Stock
Series E Convertible Preferred Stock	<u>(6)</u>	04/02/2012	С	8,803	<u>(6)</u>	<u>(6)</u>	Common Stock
Series E Convertible Preferred Stock	<u>(6)</u>	04/02/2012	С	3,019	<u>(6)</u>	<u>(6)</u>	Common Stock

Series E Convertible Preferred Stock	<u>(6)</u>	04/02/2012	C	C		1,376	<u>(6)</u>	<u>(6)</u>	Common Stock
Series F Convertible Preferred Stock	<u>(6)</u>	04/02/2012	C	2		117,257	<u>(6)</u>	<u>(6)</u>	Common Stock
Series F Convertible Preferred Stock	<u>(6)</u>	04/02/2012	(2		11,136	<u>(6)</u>	<u>(6)</u>	Common Stock
Series F Convertible Preferred Stock	<u>(6)</u>	04/02/2012	C	2		3,819	<u>(6)</u>	<u>(6)</u>	Common Stock
Series F Convertible Preferred Stock	<u>(6)</u>	04/02/2012	C	2		1,741	<u>(6)</u>	<u>(6)</u>	Common Stock
Series E Convertible Pref St Purchase Warrant (Right to Buy)	\$ 6.61	04/02/2012	C	2		27,806	<u>(7)</u>	10/19/2015	Series E Convertible Preferred Stock (6)
Common Stock Purchase Warrant (Right to Buy)	\$ 6.61	04/02/2012	C	C	27,806		<u>(7)</u>	10/19/2015	Common Stock
Series E Convertible Pref St Purchase	\$ 6.61	04/02/2012	(2,641	<u>(7)</u>	10/19/2015	Series E Convertible Preferred Stock (6)

Warrant (Right to Buy)								
Common Stock Purchase Warrant (Right to Buy)	\$ 6.61	04/02/2012	С	2,641		<u>(7)</u>	10/19/2015	Common Stock
Series E Convertible Pref St Purchase Warrant (Right to Buy)	\$ 6.61	04/02/2012	C		905	<u>(7)</u>	10/19/2015	Series E Convertible Preferred Stock (6)
Common Stock Purchase Warrant (Right to Buy)	\$ 6.61	04/02/2012	С	905		<u>(7)</u>	10/19/2015	Common Stock
Series E Convertible Pref St Purchase Warrant (Right to Buy)	\$ 6.61	04/02/2012	С		413	<u>(7)</u>	10/19/2015	Series E Convertible Preferred Stock (6)
Common Stock Purchase Warrant (Right to Buy)	\$ 6.61	04/02/2012	С	413		<u>(7)</u>	10/19/2015	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
VANGUARD VII L P								
C/O VANGUARD VENTURES		X						
P.O. BOX 20068		Λ						
SAN JOSE, CA 95160								

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Signatures

/s/ Ken Shilling, by Power of Attorney for Vanguard VII Venture Partners, LLC, its general partner

04/04/2012

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of Series B Convertible Preferred Stock converted into 1.8304174 shares of common stock upon the completion of the Issuer's initial public offering and had no expiration date.
- (2) Shares are held directly by Vanguard VII, L.P. ("V7 LP").
- (3) Shares are held directly by Vanguard VII-A, L.P. ("V7A LP").
- (4) Shares are held directly by Vanguard VII Accredited Affiliates Fund, L.P. ("Accredited").
- (5) Shares are held directly by Vanguard VII Qualified Affiliates Fund, L.P. ("Qualified").
- (6) Each share of Series C, Series D, Series E and Series F Convertible Preferred Stock converted into one share of common stock upon the completion of the Issuer's initial public offering and had no expiration date.
- (7) Immediately exercisable.

Remarks:

Donald F. Wood, Dan Eilers, Jack Gill, Tom McConnell, and Bob Ulrich are the managing members of Vanguard VII Venture Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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