Edgar Filing: CLEARBRIDGE AMERICAN ENERGY MLP FUND INC. - Form 4

CLEARBRIDGE AMERICAN ENERGY MLP FUND INC.

Form 4

February 25, 2016

FOF	RM	4
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Check this box

if no longer

Section 16.

Form 4 or

Form 5

subject to

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

5. Relationship of Reporting Person(s) to

3235-0287

Issuer

Expires:

January 31, 2005

0.5

response...

Estimated average burden hours per

OMB APPROVAL

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

PRUDENTIAL FINANCIAL INC

TROBELLE			ARBRIDGE ERGY MLP F			CBA]	(Check a	all applicable)		
(Last)		(Mon	3. Date of Earliest Transaction (Month/Day/Year)			bel	Director X 10% Owner Officer (give title Other (specify below)			
751 BROAD	ST	02/2	02/23/2016							
	(Street)		Amendment, Dat (Month/Day/Year)	_		Ap	Individual or Join oplicable Line) Form filed by One			
NEWARK, N	IJ 07102					_X	Form filed by Morrson	1 0		
(City)	(State) (Z	ip)	Γable I - Non-De	erivative S	ecurit	ties Acquir	ed, Disposed of, o	r Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Datany (Month/Day/Y	Code Year) (Instr. 8)	4. Securi oror Dispo- (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Series C Mandatory Redeemable Preferred Stock	02/23/2016		J	58 <u>(1)</u>	D	\$ 103,080 (2)		Ι	By The Prudential Insurance Company of America, a wholly-owned subsidiary of the Reporting Person	
Series D Mandatory Redeemable	02/23/2016		J	120 (1)	D	\$ 103,159 (2)	85	I	By Prudential Legacy Insurance	

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Preferred Stock							Company of New Jersey, a wholly-owned subsidiary of the Reporting Per
Series D Mandatory Redeemable 02/23/2016 Preferred Stock	J	32 (1)	D	\$ 103,159 (2)	24 (3)	Ĭ	By The Prudential Insurance Company of America, a wholly-owned subsidiary of the Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transacti	5. orNumber	6. Date Exerc Expiration D		7. Title Amoun		8. Price of Derivative	9. Nu Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securiti	ies	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						_			or		
						Date	Expiration		Number		
						Exercisable	Date		of		
				Code V	(A) (D)			5	Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
coposing of the rame of seasons	Director	10% Owner	Officer	Other		
PRUDENTIAL FINANCIAL INC 751 BROAD ST NEWARK, NJ 07102		X				
		X				

Reporting Owners 2

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PRUDENTIAL INSURANCE CO OF AMERICA 751 BROAD STREET NEWARK, NJ 07102

Signatures

Prudential Financial, Inc., By: /s/ Hillary Lorenzo, Vice President

**Signature of Reporting Person

Date

The Prudential Insurance Company of America, By: /s/ Christopher Halloran, Vice President

**Signature of Reporting Person

Date

Prudential Legacy Insurance Company of New Jersey, By: PGIM, Inc., as Investment

Manager, By: /s/ Christopher Halloran, Vice President

**Signature of Reporting Person

Date

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Share transfer to Issuer on 2/23/2016.
- (2) Per Share Price includes accrued dividends and 2% premium.
- (3) As grantor of the PICA Hartford Life & Annuity Comfort Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3