

SYNCHRONOSS TECHNOLOGIES INC

Form 4

January 25, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Rosenberger Karen

(Last) (First) (Middle)  
200 CROSSING BOULEVARD  
(Street)

BRIDGEWATER, NJ 08876

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
SYNCHRONOSS  
TECHNOLOGIES INC [SNCR]

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/23/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
EVP & Chief Financial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	01/23/2017		M		84 A \$ 31.02		D
Common Stock	01/23/2017		M		111 A \$ 32.24		D
Common Stock	01/23/2017		M		582 A \$ 35.19		D
Common Stock	01/23/2017		S		777 <sup>(1)</sup> D \$ 39.08		D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Stock Option (Right to Purchase)	\$ 31.02	01/23/2017		M	84	02/14/2014 <sup>(2)</sup> 02/14/2020	Common Stock	84
Stock Option (Right to Buy)	\$ 32.24	01/23/2017		M	111	02/20/2015 <sup>(3)</sup> 02/20/2021	Common Stock	111
Stock Option (Right to Buy)	\$ 35.19	01/23/2017		M	582	04/01/2015 <sup>(4)</sup> 04/01/2021	Common Stock	582

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Rosenberger Karen 200 CROSSING BOULEVARD BRIDGEWATER, NJ 08876			EVP & Chief Financial Officer	

## Signatures

/s/ Karen  
Rosenberger 01/25/2017

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) All of the sales reported on this Form were effected pursuant to an approved Rule 10b5-1 trading plan

The option became exercisable with respect to the first 25 percent of the shares subject to the option when the Reporting Person

(2) completed 12 months of continuous service after February 14, 2013. The option becomes exercisable with respect to an additional 1/48th of the shares subject to the option when the Reporting Person completes each month of continuous service thereafter.

The option became exercisable with respect to the first 25 percent of the shares subject to the option when the Reporting Person

(3) completes 12 months of continuous service after February 20, 2014. The option shall become exercisable with respect to an additional 1/48th of the shares subject to the option when the Reporting Person completes each month of continuous service thereafter.

The option shall become exercisable with respect to the first 25 percent of the shares subject to the option when the Reporting Person

(4) completes 12 months of continuous service after April 1, 2014. The option shall become exercisable with respect to an additional 1/48th of the shares subject to the option when the Reporting Person completes each month of continuous service thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.