

WILLIAMS DAVID B

Form 4

April 26, 2019

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
WILLIAMS DAVID B

2. Issuer Name **and** Ticker or Trading  
Symbol  
HYSTER-YALE MATERIALS  
HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
5875 LANDERBROOK DRIVE  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/25/2019

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title \_\_\_\_X\_\_\_\_ Other (specify  
below) below)  
Member of a Group

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	04/25/2019		P	225 <sup>(1)</sup> A \$ 64.99	118,061	I	Held by trust for the benefit of Reporting Person's Spouse <sup>(2)</sup>
Class A Common Stock	04/25/2019		P	1 <sup>(1)</sup> A \$ 64.99	516	I	Spouse's proportionate interest in shares held by Rankin Associates VI <sup>(2)</sup>

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Class A Common Stock	04/26/2019	P	304 <u>(1)</u>	A	\$ 64.99	118,365	I	Held by trust for the benefit of Reporting Person's Spouse <u>(2)</u>
Class A Common Stock	04/26/2019	P	1 <u>(1)</u>	A	\$ 64.99	613	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	04/26/2019	P	1 <u>(1)</u>	A	\$ 64.99	612	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	04/26/2019	P	1 <u>(1)</u>	A	\$ 64.99	612	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						81,009	I	Spouse's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock						49,811	I	Spouse's proportionate interests in shares held by Rankin Associates I. <u>(2)</u>
Class A Common Stock						11,750	I	Spouse's proportionate interests in shares held by Rankin Associates II. <u>(2)</u>
Class A Common Stock						32,369	I	Spouse's proportionate interests in

			shares held by Rankin Associates IV. <u>(2)</u>
Class A Common Stock	103	I	Spouse's proportionate interests in shares held by Rankin Associates V <u>(2)</u>
Class A Common Stock	3,162	D	
Class A Common Stock	7,104	I	proportionate LP interest in shares held by RA II, L.P
Class A Common Stock	677	I	Child's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock	9,945	I	Minor child's trust's proportionate interests in shares held by Rankin Associates II. <u>(2)</u>
Class A Common Stock	2,983	I	Reporting Person is Trustee of a Trust for the benefit of Reporting Person's minor child <u>(2)</u>
Class A Common Stock	677	I	Child's proportionate partnership interest shares held by AMR Associates LP
	8,570	I	

Class A Common Stock							Minor child's trust's proportionate interests in shares held by Rankin Associates II. (2)
Class A Common Stock		4,357	I				Reporting Person is Trustee of a Trust for the benefit of Reporting Person's minor child (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(3)					(3)	(3)	Class A Common Stock	94,355
Class B Common Stock	(3)					(3)	(3)	Class A Common Stock	89,105

Class B Common Stock	<u>(3)</u>		<u>(3)</u>	<u>(3)</u>	Class A Common Stock	11,750
Class B Common Stock	<u>(3)</u>		<u>(3)</u>	<u>(3)</u>	Class A Common Stock	51,283
Class B Common Stock	<u>(3)</u>		<u>(3)</u>	<u>(3)</u>	Class A Common Stock	58,586
Class B Common Stock	<u>(3)</u>		<u>(3)</u>	<u>(3)</u>	Class A Common Stock	2,332
Class B Common Stock	<u>(3)</u>		<u>(3)</u>	<u>(3)</u>	Class A Common Stock	7,104
Class B Common Stock	<u>(3)</u>		<u>(3)</u>	<u>(3)</u>	Class A Common Stock	790
Class B Common Stock	<u>(3)</u>		<u>(3)</u>	<u>(3)</u>	Class A Common Stock	9,945
Class B Common Stock	<u>(3)</u>		<u>(3)</u>	<u>(3)</u>	Class A Common Stock	2,152

Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	790
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	8,570
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	3,528

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WILLIAMS DAVID B 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124				Member of a Group

## Signatures

/s/ Suzanne S. Taylor,  
attorney-in-fact

04/26/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares purchased pursuant to 10b5-1 plan

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(2) Reporting Person disclaims beneficial ownership of all such shares.

(3) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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