RANKIN ALISON A Form 4

April 26, 2019

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or

Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \*

RANKIN ALISON A

(First) (Middle)

5875 LANDERBROOK DRIVE

(Street)

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

3. Date of Earliest Transaction

(Month/Day/Year) 04/26/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	04/26/2019		P	18 (1)	` ,		41,981	I	Spouse serves as Trustee of GSTs for the benefit of A. Farnham Rankin
Class A Common Stock	04/26/2019		P	18 (1)	A	\$ 64.99	41,981	I	Spouse serves as Trustee of GSTs for the benefit of Elisabeth M. Rankin

Class A Common Stock	04/26/2019	P	18 (1)	A	\$ 64.99	41,999	I	Spouse serves as Trustee of GSTs for the benefit of A. Farnham Rankin
Class A Common Stock	04/26/2019	P	18 (1)	A	\$ 64.99	41,999	I	Spouse serves as Trustee of GSTs for the benefit of Elisabeth M. Rankin
Class A Common Stock						54,147	I	proportionate limited partnership interest in shares held by Rankin Associates I, L.P (2)
Class A Common Stock						29,986	I	Reporting Person?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock						612	I	Proportionate interest in shares held in Rankin Associates VI
Class A Common Stock						11,155	I	Reporting Person serves as Trustee of a Trust for the benefit of Alison Rankin
Class A Common Stock						200	I	Reporting person's spouse serves as Trustee for the benefit of Elisabeth Rankin
Class A Common						765	I	Reporting person serves

Stock			as co-trustee of trust fbo Elisabeth M. Rankin
Class A Common Stock	20,426	I	Minor child?s trust?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	1,851	I	Co-Trustee for the benefit of Reporting Person's daughter. (2)
Class A Common Stock	102	I	Child's proportionate interest in shares held by Rankin Associates V
Class A Common Stock	515	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	1,209	I	Spouse serves as Trustee for the benefit of A. Farnham Rankin
Class A Common Stock	18,294	I	Minor child?s trust?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	102	I	Child's proportionate interest in

			shares held by Rankin Associates V
Class A Common Stock	515	Ι	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	3,738	I	Co-Trustee for the benefit of Reporting Person's daughter. (2)
Class A Common Stock	19	I	Spouse's proportionate general partnership interest shares held by RAIV. (2)
Class A Common Stock	3,448	I	Spouse's proportionate interests in shares held by Rankin Associates I.
Class A Common Stock	4,283	I	spouse's proportionate limited partnership interest in shares held by RA II, L.P (2)
Class A Common Stock	65,824	I	Spouse's proportionate interests in shares held by Rankin Associates IV.
Class A Common Stock	658	I	Spouse's proportionate interests in

			shares held by Rankin Associates V
Class A Common Stock	281	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	1,975	I	spouse's proportionate interest in shares held by Rankin Management, Inc. ("RMI").
Class A Common Stock	77	I	spouse's interest in shares of Rankin Associates V held by Rankin Management, Inc. ("RMI")
Class A Common Stock	96	I	spouse's interest in shares of Rankin Associates VI held by Rankin Management, Inc. ("RMI")
Class A Common Stock	192,662	I	spouse serves as Trustee of a Trust for the benefit of Roger F. Rankin (2)
Reminder: Report on a separate line for each class of securities benefic	ially owned directly or indirectly.  Persons who respond to the coll information contained in this for required to respond unless the f displays a currently valid OMB c number.	m are not orm	SEC 1474 (9-02)

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. DiNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivati Security (Instr. 5]
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	(5)	Class A Common Stock	96,861	
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	<u>(5)</u>	Class A Common Stock	29,986	
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	<u>(5)</u>	Class A Common Stock	3,123	
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	<u>(5)</u>	Class A Common Stock	200	
Class B Common Stock	<u>(5)</u>					(5)	(5)	Class A Common Stock	20,426	

Class B Common Stock	<u>(5)</u>	(5)	(5)	Class A Common Stock	1,851
Class B Common Stock	(5)	(5)	<u>(5)</u>	Class A Common Stock	444
Class B Common Stock	(5)	(5)	(5)	Class A Common Stock	18,294
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	(5)	Class A Common Stock	3,738
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	(5)	Class A Common Stock	6,889
Class B Common Stock	<u>(5)</u>	(5)	(5)	Class A Common Stock	6,889
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	(5)	Class A Common Stock	31

Class B Common Stock	<u>(5)</u>	(5)	<u>(5)</u>	Class A Common Stock	6,168
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	(5)	Class A Common Stock	4,283
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	(5)	Class A Common Stock	104,286
Class B Common Stock	<u>(5)</u>	(5)	(5)	Class A Common Stock	1,975
Class B Common Stock	( <u>5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	193,760

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN ALISON A 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

**Signatures** 

/s/ Suzanne S. Taylor, attorney-in-fact

04/26/2019

\*\*Signature of Reporting Person

Date

Reporting Owners 8

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) held in trust u/a/d 12/30/2015
- (4) held in trust u/a/d 8/15/2012
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.