

RANKIN JAMES T  
Form 4  
April 05, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN JAMES T

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5875 LANDERBROOK DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
04/03/2019

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below)  Other (specify below)  
Member of a Group

MAYFIELD HEIGHTS, OH 44124  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)                                     |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Class A Common Stock            | 04/03/2019                           |  | P                              | 1 <sup>(1)</sup> A  | \$ 64.99 608  | I  | Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares |
| Class A Common Stock            | 04/03/2019                           |  | P                              | 1 <sup>(1)</sup> A  | \$ 64.99 608  | I  | Co-trustee of trust fbo nephew. Reflects  |

|                      |            |   |              |   |                     |     |   |   |
|----------------------|------------|---|--------------|---|---------------------|-----|---|---|
|                      |            |   |              |   |                     |     |   | proportionate interests in Rankin Assoc VI shares   |
| Class A Common Stock | 04/03/2019 | P | 1 <u>(1)</u> | A | \$ 64.99 <u>(2)</u> | 513 | I | Proportionate interest in shares held by Rankin Associates VI                             |
| Class A Common Stock | 04/03/2019 | P | 1 <u>(1)</u> | A | \$ 64.99 <u>(2)</u> | 609 | I | Spouse's proportionate interest in shares held by Rankin Associates VI <u>(3)</u>         |
| Class A Common Stock | 04/03/2019 | P | 1 <u>(1)</u> | A | \$ 64.99 <u>(2)</u> | 608 | I | Child's proportionate interest in shares held by Rankin Associates VI                     |
| Class A Common Stock | 04/03/2019 | P | 1 <u>(1)</u> | A | \$ 64.99 <u>(2)</u> | 608 | I | Child's proportionate interest in shares held by Rankin Associates VI                     |
| Class A Common Stock | 04/04/2019 | P | 1 <u>(1)</u> | A | \$ 64.99 <u>(4)</u> | 102 | I | proportionate interest in shares held by Rankin Associates V                              |
| Class A Common Stock | 04/04/2019 | P | 1 <u>(1)</u> | A | \$ 64.99 <u>(4)</u> | 609 | I | Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares |
| Class A Common Stock | 04/04/2019 | P | 1 <u>(1)</u> | A | \$ 64.99 <u>(4)</u> | 609 | I | Co-trustee of trust fbo nephew. Reflects proportionate interests in                       |

|                            |            |   |              |   |                           |        |   |  |
|----------------------------|------------|---|--------------|---|---------------------------|--------|---|--|
| Class A<br>Common<br>Stock | 04/04/2019 | P | 1 <u>(1)</u> | A | \$<br>64.99<br><u>(4)</u> | 610    | I | Rankin Assoc<br>VI shares<br><br>Spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI<br><u>(3)</u> |
| Class A<br>Common<br>Stock | 04/04/2019 | P | 1 <u>(1)</u> | A | \$<br>64.99<br><u>(4)</u> | 609    | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI   |
| Class A<br>Common<br>Stock | 04/04/2019 | P | 1 <u>(1)</u> | A | \$<br>64.99<br><u>(4)</u> | 609    | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI   |
| Class A<br>Common<br>Stock |            |   |              |   |                           | 9,562  | I | proportionate<br>limited<br>partnership<br>interests in<br>shares held by<br>RA II LP  |
| Class A<br>Common<br>Stock |            |   |              |   |                           | 569    | I | child's<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates II                       |
| Class A<br>Common<br>Stock |            |   |              |   |                           | 15,853 | I | Held by Trust  |
| Class A<br>Common<br>Stock |            |   |              |   |                           | 862    | I | Spouse's<br>proportionate<br>limited<br>partnership<br>interests in<br>shares held by<br>Rankin<br>Associates II<br>LP <u>(3)</u>    |

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|                            |  |       |   |   |
|----------------------------|--|-------|---|---|
| Class A<br>Common<br>Stock |  | 563   | I | Shares held<br>by spouse <sup>(3)</sup>   |
| Class A<br>Common<br>Stock |  | 761   | I | Minor child's<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II.<br><sup>(3)</sup>                  |
| Class A<br>Common<br>Stock |  | 1,860 | I | Co-trustee of<br>trust fbo<br>niece.<br>Reflects<br>proportionate<br>interests in<br>Rankin Assoc<br>II shares. <sup>(3)</sup>  |
| Class A<br>Common<br>Stock |  | 645   | I | co-trustee of<br>trust for the<br>benefit of<br>minor niece <sup>(3)</sup>  |
| Class A<br>Common<br>Stock |  | 1,712 | I | Co-trustee of<br>trust fbo<br>nephew.<br>Reflects<br>proportionate<br>interests in<br>Rankin Assoc<br>II shares. <sup>(3)</sup> |
| Class A<br>Common<br>Stock |  | 563   | I | co-trustee of<br>the trust for<br>the benefit of<br>the minor<br>nephew <sup>(3)</sup>  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security | 2. Conversion<br>or Exercise | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any | 4. Transaction<br>Code | 5. Number<br>of | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security |
|---------------------------------------|------------------------------|---|---|------------------------|-----------------|--|---|---------------------------------------|
|---------------------------------------|------------------------------|---|---|------------------------|-----------------|--|---|---------------------------------------|

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| (Instr. 3)                 | Price of<br>Derivative<br>Security | (Month/Day/Year) | (Instr. 8) | Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | (Instr. 5) |      |   |     |     |                     |                    |                            |                                     |
|----------------------------|------------------------------------|------------------|------------|---|------------|------|---|-----|-----|---------------------|--------------------|----------------------------|-------------------------------------|
|                            |                                    |                  |            |   |            | Code | V | (A) | (D) | Date<br>Exercisable | Expiration<br>Date | Title                      | Amount<br>or<br>Number<br>of Shares |
| Class B<br>Common<br>Stock | (5)                                |                  |            |   |            |      |   |     |     | (5)                 | (5)                | Class A<br>Common<br>Stock | 9,562                               |
| Class B<br>Common<br>Stock | (5)                                |                  |            |   |            |      |   |     |     | (5)                 | (5)                | Class A<br>Common<br>Stock | 569                                 |
| Class B<br>Common<br>Stock | (5)                                |                  |            |   |            |      |   |     |     | (5)                 | (5)                | Class A<br>Common<br>Stock | 15,683                              |
| Class B<br>Common<br>Stock | (5)                                |                  |            |   |            |      |   |     |     | (5)                 | (5)                | Class A<br>Common<br>Stock | 862                                 |
| Class B<br>Common<br>Stock | (5)                                |                  |            |   |            |      |   |     |     | (5)                 | (5)                | Class A<br>Common<br>Stock | 563                                 |
| Class B<br>Common<br>Stock | (5)                                |                  |            |   |            |      |   |     |     | (5)                 | (5)                | Class A<br>Common<br>Stock | 761                                 |

|                            |     |     |     |                            |       |
|----------------------------|-----|-----|-----|----------------------------|-------|
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 1,860 |
|----------------------------|-----|-----|-----|----------------------------|-------|

|                            |     |     |     |                            |     |
|----------------------------|-----|-----|-----|----------------------------|-----|
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 645 |
|----------------------------|-----|-----|-----|----------------------------|-----|

|                            |     |     |     |                            |       |
|----------------------------|-----|-----|-----|----------------------------|-------|
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 1,712 |
|----------------------------|-----|-----|-----|----------------------------|-------|

|                            |     |     |     |                            |     |
|----------------------------|-----|-----|-----|----------------------------|-----|
| Class B<br>Common<br>Stock | (5) | (5) | (5) | Class A<br>Common<br>Stock | 563 |
|----------------------------|-----|-----|-----|----------------------------|-----|

## Reporting Owners

| Reporting Owner Name / Address   | Relationships                          |
|--|--|
|  | Director   10% Owner   Officer   Other |
| RANKIN JAMES T<br>5875 LANDERBROOK DRIVE<br>MAYFIELD HEIGHTS, OH 44124 | Member of a Group                      |

## Signatures

|  |            |
|--|------------|
| /s/ Suzanne S. Taylor,<br>attorney-in-fact | 04/05/2019 |
| __Signature of Reporting Person            | Date       |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan

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- (2) 2019-Apr-3-Weighted Average - Share Price represents average price between \$64.92 and \$64.99.
- (3) Reporting Person disclaims beneficial ownership of all such shares.
- (4) 2019-Apr-4-Weighted Average - Share Price represents average price between \$64.95 and \$64.99.
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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