

RANKIN VICTOIRE G
Form 4
November 02, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN VICTOIRE G

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
10/31/2018

____ Director
____ Officer (give title below) Other (specify below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	10/31/2018		P	1 <u>(1)</u> A	\$ <u>61.83</u> <u>(2)</u> 186	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/31/2018		P	32 <u>(1)</u> A	\$ <u>62.97</u> <u>(3)</u> 7,215	I	Spouse serves as trustee of Trust fbo Clara LT Rankin representing

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Class A Common Stock	10/31/2018	P	63 ⁽¹⁾	A	\$ <u>62.97</u> ₍₃₎	18,039	I	interest in shares held by RA6 Spouse serves as trustee for GSTs for the benefit of Helen Butler
Class A Common Stock	10/31/2018	P	63 ⁽¹⁾	A	\$ <u>62.97</u> ₍₃₎	18,039	I	Spouse serves as trustee for the GSTs for the benefit of Clara Williams
Class A Common Stock	10/31/2018	P	1 ⁽¹⁾	A	\$ <u>62.97</u> ₍₃₎	186	I	Spouse's brother's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	265 ⁽¹⁾	A	\$ 61.746	6,673	I	spouse serves as the Trustee of the Trust which is held for the benefit of Clara LT Rankin
Class A Common Stock	11/01/2018	P	529 ⁽¹⁾	A	\$ 61.746	113,159	I	spouse serves as Trustee of a Trust for the benefit of Alfred M. Rankin, Jr ⁽⁴⁾
Class A Common Stock	11/01/2018	P	<u>1,058</u> ⁽¹⁾	A	\$ 61.746	47,698	I	serves as Trustee of a Trust for the benefit of Victoire G. Rankin
Class A Common Stock	11/01/2018	P	794 ⁽¹⁾	A	\$ 61.746	20,040	I	Spouse is a Trustee of a Trust for the benefit of Bruce T. Rankin ⁽⁴⁾
Class A Common	11/01/2018	P	59 ⁽¹⁾	A	\$ <u>60.03</u> ₍₅₎	110,959	I	Spouse serves as trustee of

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Stock								Trust fbo Clara LT Rankin representing interest in shares held by RA5
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ 60.03 <u>(5)</u>	225	I	Represents spouse's proportionate interest in shares held by Rankin Associates V
Class A Common Stock	11/01/2018	P	530 <u>(1)</u>	A	\$ 61.67 <u>(6)</u>	111,489	I	Spouse serves as trustee of Trust fbo Clara LT Rankin representing interest in shares held by RA5
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ 61.67 <u>(6)</u>	226	I	Represents spouse's proportionate interest in shares held by Rankin Associates V
Class A Common Stock	11/01/2018	P	329 <u>(1)</u>	A	\$ 62.18 <u>(7)</u>	111,818	I	Spouse serves as trustee of Trust fbo Clara LT Rankin representing interest in shares held by RA5
Class A Common Stock						175,278	I	Represents Spouse's proportionate limited partnership interest in shares held by AMR Associates LP
Class A Common						9,600	I	spouse serves as Trustee of

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Stock				Trusts for the benefit of each of grantor's grandchildren ⁽⁴⁾
Class A Common Stock	14,752	I		Held in an Individual Retirement Account for the benefit of the Reporting Person's spouse ⁽⁴⁾
Class A Common Stock	10,271	I		Spouse's proportionate limited partnership interest in shares held by RA1 ⁽⁴⁾
Class A Common Stock	951	I		Spouses's proportionate limited partnership interest in shares held by AMR RAI ⁽⁴⁾
Class A Common Stock	19	I		Spouse's proportionate partnership interest in RAIV ⁽⁴⁾
Class A Common Stock	1,087	I		Spouses proportionate limited partnership interest in shares held by Rankin Associates IV, L.P
Class A Common Stock	189	I		Spouse's proportionate interest in shares held by Rankin Associates VI

Class A Common Stock	1,975	I	spouse's proportionate interest in shares held by Rankin Management, Inc. ("RMI") <u>(4)</u>
Class A Common Stock	57	I	spouse's proportionate interest in shares held by RAV held by Rankin Management, Inc.
Class A Common Stock	64	I	spouse's proportionate interest in shares held by RAVI held by Rankin Management, Inc.
Class A Common Stock	18,625	I	spouse serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin, Sr <u>(4)</u>
Class A Common Stock	42,666	I	Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust's proportionate interest of RA1 <u>(4)</u>
Class A Common Stock	26,119	I	Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust proportionate interest in RAII <u>(4)</u>

Class A Common Stock	46,182	I	Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust's proportionate interest of RA4 <u>(4)</u>
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(8)					(8)	(8)	Class A Common Stock	204,146
Class B Common Stock	(8)					(8)	(8)	Class A Common Stock	9,600
Class B Common Stock	(8)					(8)	(8)	Class A Common Stock	14,160

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Stock			Stock		
Class B Common Stock	<u>(8)</u>	<u>(8)</u>	<u>(8)</u>	Class A Common Stock	17,556
Class B Common Stock	<u>(8)</u>	<u>(8)</u>	<u>(8)</u>	Class A Common Stock	18,373
Class B Common Stock	<u>(8)</u>	<u>(8)</u>	<u>(8)</u>	Class A Common Stock	951
Class B Common Stock	<u>(8)</u>	<u>(8)</u>	<u>(8)</u>	Class A Common Stock	31
Class B Common Stock	<u>(8)</u>	<u>(8)</u>	<u>(8)</u>	Class A Common Stock	1,721
Class B Common Stock	<u>(8)</u>	<u>(8)</u>	<u>(8)</u>	Class A Common Stock	1,975

Class B Common Stock	<u>(8)</u>		<u>(8)</u>	<u>(8)</u>	Class A Common Stock	18,625
Class B Common Stock	<u>(8)</u>		<u>(8)</u>	<u>(8)</u>	Class A Common Stock	76,330
Class B Common Stock	<u>(8)</u>		<u>(8)</u>	<u>(8)</u>	Class A Common Stock	26,119
Class B Common Stock	<u>(8)</u>		<u>(8)</u>	<u>(8)</u>	Class A Common Stock	73,166
Class B Common Stock	<u>(8)</u>		<u>(8)</u>	<u>(8)</u>	Class A Common Stock	747
Class B Common Stock	<u>(8)</u>		<u>(8)</u>	<u>(8)</u>	Class A Common Stock	6,889
	<u>(8)</u>		<u>(8)</u>	<u>(8)</u>		6,889

Class B
Common
Stock

Class A
Common
Stock

Class B
Common
Stock

(8)

(8)

(8)

Class A
Common
Stock 21,006

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RANKIN VICTOIRE G 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124				Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

11/02/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Oct-31 -Block 2 Weighted Average- Share Price represents average price between \$61.50 and \$62.00.
- (3) 2018-Oct-31 -Block 3 Weighted Average- Share Price represents average price between \$62.85 and \$64.99.
- (4) Reporting Person disclaims beneficial ownership of all such shares.
- (5) 2018-Nov-1 -Block 1 Weighted Average- Share Price represents average price between \$60.00 and \$60.05.
- (6) 2018-Nov-1 -Block 2 Weighted Average- Share Price represents average price between \$61.25 and \$61.96.
- (7) 2018-Nov-1 -Block 3 Weighted Average- Share Price represents average price between \$62.00 and \$62.97.
- (8) N/A

- (9) BTR RA4-Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person's spouse serves as the Trustee of the Trust which is held for the benefit of Bruce T Rankin. Reporting person disclaims beneficial ownership of all such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.