Bradley Alexander R. Form 4 August 06, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

**OMB APPROVAL** 

3235-0287

January 31,

2005

OMB

Number:

Expires:

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Bradley Alexander R.

2. Issuer Name and Ticker or Trading Symbol

Issuer

(Last)

(First)

(Middle)

FIRST SOLAR, INC. [FSLR]

below)

3. Date of Earliest Transaction

(Month/Day/Year)

Filed(Month/Day/Year)

08/05/2018

Director X\_ Officer (give title

10% Owner Other (specify

C/O FIRST SOLAR, INC., 350 WEST WASHINGTON STREET, SUITE 600

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

Chief Financial Officer

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

TEMPE, AZ 85281-1244

(City)	(State)	(Zip) Table	e I - Non-D	erivative Secu	rities Acq	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	n Date 2A. Deemed 3. 4. Securities Acquired Year) Execution Date, if Transaction(A) or Disposed of (D) any Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)  (A) or		ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	08/05/2018		Code V M	Amount (D) 963 (1) A	Price \$ 0	963	D	
Common Stock	08/05/2018		F	513 (2) D	\$ 52.84	450	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: Bradley Alexander R. - Form 4

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Transaction Date Ionth/Day/Year)  SA. Deemed Execution Date, if any (Month/Day/Year)		Transactionof		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	V (A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(3)	08/05/2018		M	96	3 (4)	<u>(4)</u>	Common Stock	963	\$ (

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Bradley Alexander R. C/O FIRST SOLAR, INC. 350 WEST WASHINGTON STREET, SUITE 600

Chief Financial Officer

## **Signatures**

TEMPE, AZ 85281-1244

/s/ Jason E. Dymbort, attorney-in-fact 08/06/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock issued upon vesting of 25% of the restricted stock units granted on August 5, 2015.
- Represents shares of common stock withheld by the Issuer to satisfy certain tax withholding obligations with the vesting of the restricted stock units.
- Each restricted stock unit represents the right to receive, upon vesting, one share of the Issuer's common stock in accordance with the Issuer's 2015 Omnibus Incentive Compensation Plan.
- The restricted stock units were granted on August 5, 2015 as a performance recognition grant. These units are scheduled to vest at a rate of 25% per year, commencing on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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