## Edgar Filing: Devonshire Duke of - Form 4

Devonshire Form 4								
November <b>FORM</b>	ЛЛ	CTATES SI		AND EXCHANGE	COMMISSION		PPROVAL	
	UNITED	SIAIES SI			COMMINISSION	OMB Number:	3235-0287	
Check t if no lot subject Section Form 4 Form 5 obligati may con <i>See</i> Inst 1(b).	nger to 16. or Filed pur ons ntinue.	<ul> <li>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES</li> <li>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section</li> <li>20(b) of the Investment Company Act of 1040</li> </ul>						
(Print or Type	Responses)							
1. Name and Address of Reporting Person <u>*</u> Devonshire Duke of			2. Issuer Name <b>and</b> ymbol OTHEBYS [BI	l Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) 1334 YORK AVENUE			Date of Earliest T Month/Day/Year) 1/15/2017	ransaction	(Check all applicable) X_ Director 10% Owner Officer (give title Other (specify below) below)			
(Street) NEW YORK, NY 10021			If Amendment, Dailed(Month/Day/Yea	-	<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
(City)	(State)	(Zip)	Table I - Non-I	Derivative Securities A	Person	° or Beneficia	llv Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da any (Month/Day/	3. ate, if Transactio Code	4. Securities nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or	5. Amount of Securities F Beneficially ( Owned (	. Ownership Form: Direct D) or Indirect I) Instr. 4)	7. Nature of Indirect	
Reminder: Re	eport on a separate line	e for each class	of securities benef	information cont required to respo	or indirectly. pond to the collec ained in this form and unless the form ntly valid OMB con	are not n	SEC 1474 (9-02)	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof	Expiration Date	Underlying Securities	Derivativ
Security	or Exercise		any	Code	Derivative	(Month/Day/Year)	(Instr. 3 and 4)	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Securities			(Instr. 5)

	Derivative Security				Acquin (A) or Dispos of (D) (Instr. and 5)	sed 3, 4,					
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Deferred Stock Units	<u>(1)</u>	11/15/2017	A <u>(1)</u>		410		(1)	<u>(1)</u>	Common Stock	410	\$ 45.6

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Devonshire Duke of 1334 YORK AVENUE NEW YORK, NY 10021	Х							
Signatures								
/s/ David G. Schwartz as Attorney-In-Fact		11/10	6/2017					
**Signature of Reporting Person	Date							

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Deferred Stock Units were accrued under the Company's Stock Compensation Plan for Non-Employee Directors (as amended and restated, the "Plan") as a result of a partial or full deferral election with respect to stock compensation paid under the Plan. These units

(1) restated, the Than ) as a result of a partial of the deferral electron with respect to stock compensation part under the Than. These units will be settled, on a one-for-one basis, into shares of the Company's Common Stock (except that fractional shares, if any, will be settled in cash) after the reporting person's termination of service on the Company's Board.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.