### Edgar Filing: ANADARKO PETROLEUM CORP - Form 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

#### ANADARKO PETROLEUM CORP

Form 4

November 07, 2016

### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box

**OMB APPROVAL** OMB

3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

| 1. Name and A<br>GWIN ROE            | 2. Issuer Name and Ticker or Trading<br>Symbol<br>ANADARKO PETROLEUM CORP<br>[APC]       |               |  |  |        | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable) |   |   |       |  |  |
|--------------------------------------|--|---------------|--|--|--------|---|---|---|-------|--|--|
|                                      | (First)<br>CO PETROLEU<br>TION, 1201 L<br>DRIVE  |               |  | of Earliest Transaction<br>Day/Year)<br>2016 |        |   |   | Director 10% OwnerX_ Officer (give title Other (specify below) EVP, Finance & CFO |       |  |  |
| THE WOOL                             | (Street)   |               | endment, Da<br>nth/Day/Year  | _  | .1     |   | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting                           |   |       |  |  |
| THE WOODLANDS, TX 77380              |  |               |  |  |        |   |   | Person  |       |  |  |
| (City)                               | (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |               |  |  |        |   |   |   |       |  |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction E<br>(Month/Day/Ye  | ar) Execution | med 3. 4. Securities Acquired on Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)  Day/Year) (Instr. 8)  (A) or |  |        | d of (D)  | 5. Amount of Securities Form: Direct Indirect Indirect (D) or Beneficially Owned Indirect (I) Ownersh Following (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 3 and 4) |   |       |  |  |
| Common                               |  |               |  |  | Amount | ` /   | Price   |   |       |  |  |
| Stock                                | 11/06/2016   |               |  | M  | 3,985  | A   | \$ 0  | 110,309   | D     |  |  |
| Common<br>Stock                      | 11/06/2016   |               |  | F  | 1,671  | D   | \$<br>58.62   | 108,638   | D     |  |  |
| Common<br>Stock                      | 11/06/2016   |               |  | M  | 3,927  | A   | \$ 0  | 112,617   | D     |  |  |
| Common<br>Stock                      | 11/06/2016   |               |  | F  | 1,647  | D   | \$<br>58.62   | 110,970   | D (1) |  |  |
|                                      |  |               |  |  |        |   |   | 1,506   | I     |  |  |

### Edgar Filing: ANADARKO PETROLEUM CORP - Form 4

Common 401(k) Stock Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     | 6. Date Exerc<br>Expiration D<br>(Month/Day/ | ate                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                 | 8. Pr<br>Deriv<br>Secu<br>(Instr       |    |
|---|---|---|---|---|-----|--|---------------------|---|-----------------|--|----|
|   |   |   |   | Code V  | (A) | (D)  | Date<br>Exercisable | Expiration<br>Date  | Title           | Amount<br>or<br>Number<br>of<br>Shares |    |
| Restricted<br>Stock<br>Units                        | d (2)   | 11/06/2016                              |   | M   |     | 3,985  | (3)                 | (3)   | Common<br>Stock | 3,985                                  | \$ |
| Restricted<br>Stock<br>Units                        | d<br>(2)  | 11/06/2016                              |   | M   |     | 3,927  | (3)                 | (3)   | Common<br>Stock | 3,927                                  | \$ |

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

GWIN ROBERT G ANADARKO PETROLEUM CORPORATION 1201 LAKE ROBBINS DRIVE THE WOODLANDS, TX 77380

EVP, Finance & CFO

### **Signatures**

/s/ Anne V. Bruner by power of atty. for Robert G.
Gwin

11/07/2016

\*\*Signature of Reporting Person Date

Reporting Owners 2

### Edgar Filing: ANADARKO PETROLEUM CORP - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 127 shares of common stock acquired as a result of dividend equivalents with respect to restricted stock units. The dividend equivalents were accrued and reinvested in shares of the Company's common stock and paid upon vesting of the underlying award.
- (2) Each restricted stock unit represents a contingent right to receive one share of APC common stock.
- (3) The restricted stock units vest in three annual installments beginning one year after the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.