#### Edgar Filing: ANADARKO PETROLEUM CORP - Form 3

#### ANADARKO PETROLEUM CORP

Form 3

September 01, 2016

## FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ANADARKO PETROLEUM CORP [APC] A Leyendecker Ernest A. III (Month/Day/Year) 08/22/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1201 LAKE ROBBINS DRIVE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) THE Form filed by More than One EVP, Intl./Deepwater Expl. WOODLANDS, TXÂ 77380 Reporting Person (State) (City) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 14,079 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.},\ puts,\ calls,\ warrants,\ options,\ convertible\ securities)$ 

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		Securities U	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

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				Shares		(I) (Instr. 5)	
Non Qualified Stock Option (Right to Buy)	(1)	10/26/2022	Non Qualified Stock Option (Right to Buy)	31,209	\$ 69	D	Â
Non Qualified Stock Option (Right to Buy)	(1)	11/06/2021	Non Qualified Stock Option (Right to Buy)	22,448	\$ 93.51	D	Â
Non Qualified Stock Option (Right to Buy)	(1)	11/06/2020	Non Qualified Stock Option (Right to Buy)	18,847	\$ 92.02	D	Â
Non Qualified Stock Option (Right to Buy)	(1)	11/05/2019	Common Stock	18,502	\$ 70.7	D	Â
Non Qualified Stock Option (Right to Buy)	(1)	11/08/2018	Common Stock	13,397	\$ 83.95	D	Â
Non Qualified Stock Option (Right to Buy)	(1)	11/09/2017	Common Stock	13,059	\$ 63.34	D	Â
Non Qualified Stock Option (Right to Buy)	(1)	05/03/2017	Common Stock	5,803	\$ 64.03	D	Â
Non Qualified Stock Option (Right to Buy)	(1)	03/05/2017	Common Stock	3,025	\$ 72.11	D	Â
Restricted Stock Units	(2)	(2)	Common Stock	5,798	\$ <u>(3)</u>	D	Â
Restricted Stock Units	(2)	(2)	Common Stock	2,674	\$ (3)	D	Â
Restricted Stock Units	(2)	(2)	Common Stock	1,268	\$ <u>(3)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Leyendecker Ernest A. III 1201 LAKE ROBBINS DRIVE	Â	Â	EVP, Intl./Deepwater Expl.	Â		
THE WOODLANDS, TX 77380						

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## **Signatures**

/s/ Dixi L. Elkins by power of atty. for Ernest A. Leyendecker, III

09/01/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option is exercisable in three annual installments beginning one year after the date of grant.
- (2) The restricted stock units vest in three annual installments beginning one year after the date of grant.
- (3) Each restricted stock unit represents a contingent right to receive one share of APC common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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