Edgar Filing: AMGEN INC - Form 4

AN COUNT INTO

Common

Stock

05/03/2016

Form 4									
May 05, 2016	UNITED STA	TES SECUD	ITIES AND I	VCHANCE	COMMISSION		PPROVAL		
Check this box	Washington, D.C. 20549					OMB Number:	3235-0287		
if no longer subject to Section 16. Form 4 or					NERSHIP OF	Expires: Estimated a burden hou response	irs per		
Form 5 obligations may continue. <i>See</i> Instruction 1(b).	Section 17(a) of	f the Public Uti	lity Holding (ge Act of 1934, of 1935 or Section 40	•			
(Print or Type Respon	ises)								
1. Name and Address of Reporting Person <u>*</u> Meline David W		Symbol	2. Issuer Name and Ticker or Trading Symbol AMGEN INC [AMGN]		5. Relationship of Reporting Person(s) to Issuer				
(Last) (l	First) (Middle		3. Date of Earliest Transaction			(Check all applicable)			
ONE AMGEN C	ENTER DRIVE	(Month/Da 05/03/20	-		Director X Officer (give below) E		6 Owner er (specify		
			ed(Month/Day/Year) Applica			vidual or Joint/Group Filing(Check able Line) rm filed by One Reporting Person			
THOUSAND OAKS, CA 9132	0-1799				Form filed by M Person	Iore than One Ro	eporting		
(City) (S	State) (Zip)	Table	I - Non-Derivat	ive Securities Ac	quired, Disposed of	, or Beneficial	lly Owned		
	an	ecution Date, if	TransactionAcq Code Disp	oosed of (D) tr. 3, 4 and 5) (A) or	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

0 57,186 (2) (3) D

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

4,477

(1)

А

А

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Nqso (Right to Buy)	\$ 156.35	05/03/2016		А	38,112	05/03/2018 <u>(4)</u>	05/03/2026	Common Stock	38,112

Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
Meline David W ONE AMGEN CENTER DRIVE THOUSAND OAKS, CA 91320-1799	9		EVP & CFO				
Signatures							
/s/ David W. 05/05/20 Meline	16						

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These Restricted Stock Units (RSUs) were granted under the Amgen Inc. 2009 Amended and Restated Equity Incentive Plan and vest in three installments of 33%, 33% and 34% on 5/3/2018, 5/3/2019 and 5/3/2020, respectively.

These shares include the following RSUs granted under the Company's equity plans: 40,621 RSUs which vest in two equal installments of 13,540 on 8/1/2016 and 8/1/2017 and one installment of 13,541 on 8/1/2018; 3,940 RSUs which vest in two equal installments of

(2) 1,300 on 1/30/2017 and 1/30/2018 and one installment of 1/340 on 1/30/2019; and 4,477 RSUs which vest in two equal installments of 1,477 on 5/3/2018 and 5/3/2019 and one installment of 1,523 on 5/3/2020. Vested RSUs will be paid in shares of the Company's common stock on a one-to-one basis.

These shares include 1,557 Dividend Equivalents (DEs) granted pursuant to the Amgen Inc. 2009 Amended and Restated Equity Incentive Plan and subject to a qualifying dividend reinvestment plan. DEs are credited on the reporting persons' unvested RSUs and are

- (3) Incentive ran and subject to a quantying dividend reinvestment plan. Dis are created on the reporting persons unvested KSOs and are paid out in shares of the Company's common stock on a one-to-one basis according to the vesting schedule, along with a cash payment for any remaining fractional share amount.
- (4) These non-qualified stock options are exercisable in three installments of 33%, 33% and 34% on 5/3/2018, 5/3/2019 and 5/3/2020, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.