**AMGEN INC** Form 4 March 04, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person \* Piacquad David

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(First) (Middle) AMGEN INC [AMGN] 3. Date of Earliest Transaction

(A)

or

Α

Price

\$0

Director

10% Owner

ONE AMGEN CENTER DRIVE

(Month/Day/Year) 03/02/2016

X\_ Officer (give title below)

Other (specify below)

SVP, Business Development

(Check all applicable)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

A

Applicable Line)

\_X\_ Form filed by One Reporting Person

D

6. Individual or Joint/Group Filing(Check

Form filed by More than One Reporting Person

**THOUSAND** OAKS, CA 91320-1799

(City) (State) (Zip) 2. Transaction Date 2A. Deemed

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

Stock

(Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Reported

Transaction(s) (Instr. 3 and 4)

Common 03/02/2016

Code V Amount (D) 5,305

34,212 (1) (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: AMGEN INC - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.                                     | 6. Date Exerc                    | cisable and | 7. Title an      | d 8. Price of | 9. Nu   |
|-------------|-------------|---------------------|--------------------|-------------------|--|----------------------------------|-------------|------------------|---------------|---------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |  | Expiration D                     | ate         | Amount of        | of Derivative | e Deriv |
| Security    | or Exercise |                     | any                | Code              | of                                     | (Month/Day/                      | Year)       | Underlyin        | g Security    | Secui   |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | str. 8) Derivative Securities Acquired |                                  |             | Securities       | (Instr. 5)    | Bene    |
|             | Derivative  |                     |                    |                   |  |                                  |             | (Instr. 3 ar     | nd 4)         | Owne    |
|             | Security    |                     |                    |                   |  |                                  |             |                  | Follo         |         |
|             | •           |                     |                    |                   | (A) or                                 |                                  |             |                  |               | Repo    |
|             |             |                     |                    |                   | Disposed                               |                                  |             |                  |               | Trans   |
|             |             | of (D)              |                    |                   |  |                                  |             |                  | (Instr        |         |
|             |             |                     |                    |                   | (Instr. 3,<br>4, and 5)                |                                  |             |                  |               |         |
|             |             |                     |                    |                   |  |                                  |             |                  |               |         |
|             |             |                     |                    |                   |  |                                  |             | Λ                | nount         |         |
|             |             |                     |                    |                   |  |                                  |             |                  | lount         |         |
|             |             |                     |                    |                   |  | Date Expirat<br>Exercisable Date | Expiration  | or<br>Tiala Nama | no la cu      |         |
|             |             |                     |                    |                   |  |                                  | Date        |                  | mber          |         |
|             |             |                     |                    | C 1 W             | (A) (D)                                |                                  |             | of               |               |         |
|             |             |                     |                    | Code V            | (A) (D)                                |                                  |             | Sha              | ares          |         |

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Piacquad David ONE AMGEN CENTER DRIVE THOUSAND OAKS, CA 91320-1799

SVP, Business Development

## **Signatures**

/s/ David A. 03/03/2016 Piacquad

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares include the following Restricted Stock Units (RSUs) granted under the Company's equity plans: 510 RSUs which vest on 4/27/2016; 563 RSUs which vest in one installment of 277 on 4/26/2016 and one installment of 286 on 4/26/2017; 4,613 RSUs which

- (1) vest on 4/26/2017; 1,166 RSUs which vest in annual installments of 384, 385 and 397 on 4/25/2016, 4/25/2017 and 4/25/2018, respectively; and 1,050 RSUs which vest in three annual installments of 346, 347 and 357 on 1/30/2017, 1/30/2018 and 1/30/2019, respectively. Vested RSUs will be paid in shares of the Company's common stock on a one-to-one basis.
- These shares include 371 Dividend Equivalents (DEs) granted pursuant to the Amgen Inc. 2009 Amended and Restated Equity Incentive
  Plan and subject to a qualifying dividend reinvestment plan. DEs are credited on the reporting person's unvested RSUs and are paid out in shares of the Company's common stock on a one-to-one basis according to the vesting schedule, along with a cash payment for any remaining fractional amount.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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