### RANKIN CLAIBORNE R

Form 4

September 11, 2012

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Estimated average

Check this box if no longer subject to Section 16.

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

burden hours per response... 0.5

See Instruction

1(b).

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* RANKIN CLAIBORNE R

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

09/10/2012

NACCO INDUSTRIES INC [NC]

(Check all applicable)

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner Officer (give title \_\_X\_ Other (specify

NACCO INDUSTRIES, INC., 5875 LANDERBROOK DRIVE, STE.

(Street)

300

below) below) NMHG Dir and Group Member

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) oner Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	09/10/2012		Code V S	Amount 100	(D)	Price \$ 109.17		I	By Spouse/Trust (1)
Class A Common Stock	09/10/2012		S	200	D	\$ 109.405	3,483	I	By Spouse/Trust
Class A Common Stock	09/10/2012		S	1	D	\$ 109.41	3,482	I	By Spouse/Trust (1)
Class A	09/10/2012		S	599	D	\$ 109	2,883	I	Ву

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Common Stock								Spouse/Trust
Class A Common Stock	09/10/2012	S	100	D	\$ 109.04	2,783	I	By Spouse/Trust (1)
Class A Common Stock						2,116	I	By Assoc II/Spouse (2)
Class A Common Stock						6	I	By GP (3)
Class A Common Stock						40,028	I	By Assoc II
Class A Common Stock						22,385	I	By RA4 (5)
Class A Common Stock						1,975	I	By RMI (Delaware) <u>(6)</u>
Class A Common Stock						27,322	I	By Trust (7)
Class A Common Stock						9,763	I	By Assoc II/Son (8)
Class A Common Stock						10,027	I	By Trust (Son) (9)
Class A Common Stock						12,763	I	By Assoc II/Daughter
Class A Common Stock						5,272	I	By Trust (Daughter) (9)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.	5. Number of actionDerivative Securities Acquired 8) (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(10)	06/22/2012		G	V	50,000		(10)	(10)	Class A Common Stock	50,000
Class B Common Stock	\$ 0 (10)	06/22/2012		G	V		50,000	(10)	(10)	Class A Common Stock	50,000
Class B Common Stock	\$ 0 (10)							(10)	(10)	Class A Common Stock	19
Class B Common Stock	\$ 0 (10)							(10)	(10)	Class A Common Stock	62,670
Class B Common Stock	\$ 0 (10)							(10)	(10)	Class A Common Stock	97,312

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN CLAIBORNE R NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 300 MAYFIELD HEIGHTS, OH 44124

NMHG Dir and Group Member

# **Signatures**

/s/Suzanne S. Taylor, attorney-in-fact

09/11/2012

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person's spouse serves as Trustee of a Trust for the benefit of Chloe O. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P... Reporting Person disclaims beneficial ownership of all such shares.

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- GP. Represents the Reporting Person's proportionate limited partnership interest in shares of Rankin Associates, IV, L.P. held by the Trust for the benefit of Reporting Person, as general partner.
- (4) Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates II, L.P.-----
- (5) RA4. Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates IV, L.P.
- (6) Represents the Reporting Person's proportionate interest in shares held by Rankin Management, Inc. ("RMI").
- (7) Reporting Person serves as Trustee of a Trust for the benefit of Claiborne R. Rankin.
- Represents the Reporting Person's child's proportionate limited partnership interest in shares held by Rankin Associates II, L.P.,
- (8) which is held in a trust for the benefit of the child. Reporting Person is the co-trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (9) Held by Trust, Reporting Person is Co-Trustee for the benefit of Reporting Person's child. Reporting Person disclaims beneficial ownership of all such shares.
- (10) N/A
- (11) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates I, L.P.-----

#### **Remarks:**

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.