## Edgar Filing: Mayer Joshua - Form 4

Mayer Joshu Form 4 July 02, 2018 <b>FORN</b> Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont <i>See</i> Instru 1(b).	8 <b>1 4</b> UNITED S is box ger 6. r Filed purs ns inue. Section 17(a	W ENT OF CHA want to Section	ashington, NGES IN F SECURI 16(a) of the Utility Hold	D.C. 20 BENEFI ITIES Securit ing Con	549 [CIA ies E ipany	<b>L OW</b> xchang / Act o	COMMISSION NERSHIP OF ge Act of 1934, f 1935 or Sectio 40	OMB Number: Expires: Estimated a burden hou response	irs per	
(Print or Type I	Responses)									
Mayer Joshua S			2. Issuer Name <b>and</b> Ticker or Trading Symbol ENVESTNET, INC. [ENV]				<ol> <li>Relationship of Reporting Person(s) to Issuer</li> <li>(Check all applicable)</li> </ol>			
(Last)	(First) (M		3. Date of Earliest Transaction (Check				ck an applicable	( an applicable)		
35 EAST W 2400	Aonth/Day/Year) 6/28/2018				Director 10% Owner X Officer (give title Other (specify below) below) Chief Operating Officer					
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)				l	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
CHICAGO,	IL 60601							More than One Ro		
(City)	(State) (	Zip) Ta	ble I - Non-De	erivative	Secur	ities Aco	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, any (Month/Day/Yea	Code	on(A) or D (D) (Instr. 3,	ispose 4 and (A) or	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	06/28/2018		F <u>(1)</u>	154	D	\$ 56.2	47,215	D		
Common Stock							42	Ι	By Wife	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
Mayer Joshua 35 EAST WACKER DRIVE SUITE 2400 CHICAGO, IL 60601			Chief Operating Officer					
Signatures								
/s/ Shelly O'Brien, by power of Mayer	attorney	for Joshua D	0. 07/02/2018	3				

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person is reporting the withholding by Envestnet, Inc. of shares of common stock to satisfy the reporting person's tax withholding obligations in connection with the vesting of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date