### Edgar Filing: KAPSTONE PAPER & PACKAGING CORP - Form 4/A

#### KAPSTONE PAPER & PACKAGING CORP

Form 4/A August 03, 2015

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL** OMB

Washington, D.C. 20549 Number:

3235-0287 January 31,

2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per 0.5

response...

Expires:

Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

common

stock (1)

(Print or Type Responses)

1. Name and Address of Reporting Person \* STONE ROGER W

2. Issuer Name and Ticker or Trading

Issuer

below)

Symbol

KAPSTONE PAPER & PACKAGING CORP [KS]

(Check all applicable)

(Middle)

(First)

3. Date of Earliest Transaction

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify

5. Relationship of Reporting Person(s) to

KAPSTONE PAPER & PKG.

(Month/Day/Year) 06/04/2015

Chairman and CEO

CORP., 1101 SKOKIE **BOULEVARD, STE 300** 

> (Street) 4. If Amendment, Date Original

> > Applicable Line)

Filed(Month/Day/Year) 06/08/2015

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Indirect

Beneficial

Ownership

(Instr. 4)

6. Individual or Joint/Group Filing(Check

NORTHBROOK, IL 60062-6141

(State)

(Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

3. 1.Title of 2. Transaction Date 2A. Deemed 4. Securities 5. Amount of 6. Ownership 7. Nature of Form: Direct Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities (Instr. 3) Code Disposed of (D) Beneficially (D) or (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Following (Instr. 4)

Reported

(A) Price

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) 2,997,147 (2)

stock (1) common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

2,997,147 (2)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amoun	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	nstr. 8) Derivative			Securit	ies	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	3 and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A manuat		
									Amount		
						Date	Expiration		Or Number		
						Exercisable	Date	Title Number of			
				C + V	(A) (D)						
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Person

Reporting Owner Name / Address		Relationships						
toporous o whom I want	12002	Director	10% Owner	Officer	Other			
STONE ROGER W KAPSTONE PAPER & PK 1101 SKOKIE BOULEVA NORTHBROOK, IL 60062	X		Chairman and CEO					
Signatures								
/s/ Roger W. Stone	08/03/2015							
**Signature of	Date							

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Sales of 43,488 shares of common stock on June 4, 2015 and 56,522 shares of common stock on June 5, 2015 were mistakenly included on the Form 4 for the Reporting Person filed on June 8, 2015. The reported sales were of shares of common stock held by the Roger and (1) Susan Stone Family Foundation (the "Foundation"), a separate non-profit foundation qualified under Section 501(c)(3) of the Internal Revenue Code and are therefore not reportable shares by the Reporting Person. This amendment is filed to reflect that any prior transactions by the Foundation were not reportable events for the Reporting Person.

This report excludes 2,699,800 shares held by the Foundation. Shares held by the Foundation were previously included in reports filed by the Reporting Person but were designated by explanatory footnote as holdings of the Foundation. As a separate non-profit foundation qualified under Section 501(c)(3) of the Internal Revenue Code, shares held by the Foundation are not reportable by the Reporting Person. Therefore, shares held by the Foundation will no longer be reported by the Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2