Edgar Filing: Pyenson Eric J. - Form 4

Pyenson Eric Form 4	: J.									
November 21	1. 2011									
FORM	4 UNITE	ED STATES		ITIES A			NGE (COMMISSION		PPROVAL 3235-0287
Check thi if no long subject to Section 1 Form 4 or Form 5 obligation may cont <i>See</i> Instru 1(b).	6. F F Filed Section	pursuant to 17(a) of the	PF CHAN Section 10	GES IN E SECUR 6(a) of the ility Hold	BENEFI ITIES e Securiti ling Com	CIA ies E	xchang Act o	NERSHIP OF ge Act of 1934, f 1935 or Sectio 40	Expires: Estimated a burden hou response	rs per
(Print or Type F	Responses)									
1. Name and A Pyenson Eri	ddress of Report c J.	ing Person <u>*</u>	Symbol	Name and YSTEMS			-	5. Relationship of Issuer	f Reporting Per	
(Last) C/O A123 S WEST STR	^(First) YSTEMS, IN EET	(Middle) C., 200	3. Date of (Month/D 11/18/20	•	ansaction			Director X Officer (give below)	10%	o Owner er (specify
	(Street)			ndment, Da th/Day/Year	-			6. Individual or Jo Applicable Line) _X_ Form filed by 0 Form filed by N	One Reporting Pe	erson
	I, MA 02451							Person		1 0
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ities Aco	quired, Disposed o	f, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	ear) Execution any	emed on Date, if /Day/Year)	Code (Instr. 8)	on(A) or Di (D)	ispose 4 and (A) or	d of 5) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/18/2011			S <u>(1)</u>	1,236	D	\$ 2.42	37,365 <u>(2)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Pyenson Eric J. C/O A123 SYSTEMS, INC. 200 WEST STREET WALTHAM, MA 02451			VP and General Counsel				

Signatures

/s/Eric Pvenson = 11/21/2011	/s/ Eric Pvenson	11/21/2011
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<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan.
- (2) Includes 37,365 restricted stock units ("RSUs"). Upon vesting thereof, the Reporting Person is entitled to receive one (1) share of common stock for each (1) RSU.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.