Saluri Joseph Form 3/A November 14, 2011

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Saluri Joseph

**DR., SUITE 5100** 

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

11/10/2011

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

NEWLINK GENETICS CORP [NLNK]

4. Relationship of Reporting

Person(s) to Issuer

Filed(Month/Day/Year)

11/10/2011

(Check all applicable)

10% Owner \_X\_ Director Officer Other (give title below) (specify below)

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

5. If Amendment, Date Original

Person

Form filed by More than One

Reporting Person

(Street)

C/O NEWLINK GENETICS

CORP., Â 2503 SOUTH LOOP

AMES. IAÂ 50010

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of **Indirect Beneficial** Ownership (Instr. 5)

Date Exercisable

Expiration Date

Title

(Instr. 4)

Amount or Number of

Derivative Security: Security Direct (D)

### Edgar Filing: Saluri Joseph - Form 3/A

				Shares		or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	03/03/2011(1)(3)	03/02/2020	Common Stock	11,904	\$ 3.07	D	Â
Stock Option (right to buy)	03/03/2011(2)(3)	03/02/2020	Common Stock	4,761	\$ 3.07	D	Â

# **Reporting Owners**

Reporting Owner Name / Address		Relationsh	onships		
<b></b>	Director	10% Owner	Officer	Other	
Saluri Joseph C/O NEWLINK GENETICS CORP. 2503 SOUTH LOOP DR., SUITE 5100 AMES. IA 50010	ÂX	Â	Â	Â	

## **Signatures**

/s/ Daniel Wobbekind, by power of attorney

11/14/2011

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Grant to the Reporting Person of a stock option under the Issuer's 2009 Equity Incentive Plan (the "Plan"). The option vests over a three-year period, with 33% of such option vesting on the one-year anniversary of the vesting commencement date and the remaining
- (1) 67% of such option vesting in equal monthly installments over the next 24 months, provided that at the relevant vesting dates the Reporting Person's continuous service to the Issuer has not been terminated as defined in or as determined under the Plan. The option expires ten years after the date of grant.
- The option vests over a two-year period, with 50% of such option vesting on the one-year anniversary of the vesting commencement date and the remaining 50% of such option vesting in equal monthly installments over the next 12 months, provided that at the relevant vesting dates the Reporting Person's continuous service to the Issuer has not been terminated as defined in or as determined under the Plan. The option expires ten years after the date of grant.
- Option grant was reported as one grant totaling 16,666 with three year vesting on the Reporting Person's original Form 3. One less share resulted from post-split rounding applied to the two new grants.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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