Edgar Filing: SIFCO INDUSTRIES INC - Form 4

| SIFCO INDU Form 4 | JSTRIES INC | 2 | | | | | | | | | | |
|---|----------------|--|--------|---|---|--------------------|-----------|--|---|---|--|--|
| March 05, 20 | 09 | | | | | | | | | | | |
| FORM | 4 | | | | | | | | | | PPROVAL | |
| UNITED STATE | | | | | ITIES A hington, | OMB Number: | 3235-0287 | | | | | |
| Check this if no long subject to Section 10 Form 4 or Form 5 obligation | er STAT | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, | | | | | | | | | Expires:January 31 200Estimated average burden hours per response0. | |
| may conti See Instru 1(b). | nue. Section | 17(a) | | Public Ut of the Inv | • | • | · · | | f 1935 or Sectio 40 | 'n | | |
| (Print or Type R | esponses) | | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> M. & S. Silk Revocable Trust | | | | 2. Issuer Name and Ticker or Trading Symbol SIFCO INDUSTRIES INC [SIF] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) | (Mi | iddle) | 3. Date of Earliest Transaction (Check | | | | | | ck all applicabl | k all applicable) | |
| 4946 AZUSA CANYON ROAD | | | | (Month/Day/Year) 03/03/2009 | | | | | Director Officer (give title Other (specify below) Other (specify below) | | | |
| (Street) IRWINDALE, CA 91706 | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| | L, CA 91700 | | | | | | | | Person | | | |
| (City) | (State) | (2 | Zip) | Table | e I - Non-D | erivative S | Securi | ties Ac | quired, Disposed o | f, or Beneficia | lly Owned | |
| 1.Title of Security (Instr. 3) | any | | | emed on Date, if /Day/Year) | 4. Securi onAcquirec Disposec (Instr. 3, | d (A) c d of (D |)) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | Code V | Amount | | Price | (Instr. 3 and 4) | | | |
| Common Stock | 03/03/2009 | | | | Р | 1,700 | А | \$ 4.9 | 647,200 | D | | |
| Common Stock | 03/04/2009 | | | | Р | 400 | А | \$ 4.9 | 647,600 | D | | |
| Common Stock | 03/04/2009 | | | | Р | 1,700 | А | \$ 5 | 649,300 | D | | |
| Common Stock | 03/05/2009 | | | | Р | 700 | А | \$ 5.1 | 650,000 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

(9-02)

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owno Follo Repo Trans |
|---|---|---|--|--|--|--------------------|---|--|---|---|
| | | | Code V | (Instr. 3, 4, and 5) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | (Instr |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | | |
|--|-------|---------------|--------------|---------|-------|--|--|--|
| | | Director | 10% Owner | Officer | Other | | | |
| M. & S. Silk Revocabl 4946 AZUSA CANYO IRWINDALE, CA 917 | X | | | | | | | |
| Signatures | | | | | | | | |
| Sarah C. Silk | 03/05 | /2009 | | | | | | |
| <u>**</u> Signature of Reporting Person | Dat | te | | | | | | |
| Mark J. Silk | 03/05 | /2009 | | | | | | |
| <u>**</u> Signature of | Dat | te | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Remarks:

Reporting Person

M. & S. Silk Revocable Trust u/d/t June 2, 1997 is filing this Form 4 to report changes in its direct ownership of SIFCO Indus

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.