#### WILLIS LEASE FINANCE CORP

Form 4 April 03, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

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Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction

1(b).

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

WILLIS CHARLES F IV

WILLIS LEASE FINANCE CORP

(Check all applicable)

[wlfc]

(Last) (First) (Middle)

773 SAN MARIN DRIVE, SUITE

3. Date of Earliest Transaction

\_X\_\_ Director \_X\_\_ 10% Owner Other (specify X\_ Officer (give title

(Month/Day/Year)

01/19/2012

below) CEO

4. If Amendment, Date Original

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

2215

**NOVATO, CA 94998** 

(Street)

(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned
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1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock	01/19/2012	01/19/2012	S	885	D	\$ 13.1971	5,453	I	Daughter $\underline{(1)}$
Common Stock	11/07/2012	11/07/2012	S	515	D	\$ 14.61	4,938	I	Daughter (1)
Common Stock	04/01/2014	04/01/2014	F	2,003 (2)	D	\$ 20.65	634,753	D	
Common Stock							2,134,148	I	CFW Partners
Common Stock							1,176	I	Spouse (3)

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Common Stock	6,338	I	Son <u>(4)</u>
Common Stock	824	I	Grandson (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumber of ) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	ate	7. Titl Amou Under Secur (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)
				Code V	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other			
WILLIS CHARLES F IV							
773 SAN MARIN DRIVE	X	X	CEO				
SUITE 2215	Λ	Λ	CLO				
NOVATO, CA 94998							

# **Signatures**

Charles F. 04/03/2014 Willis IV \*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

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- (1) JTWROS
- (2) Return to Issuer of previously restricted shares to satisfy withholding tax liability.
- (3) Charlotte Montresor Willis
- (4) Charles F. Willis V Trust
- (5) Courtney Brown C/T Justin Young Brown UTMA

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.