TORTOISE MLP FUND, INC.

Form 4/A

November 21, 2013

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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* SUN LIFE FINANCIAL INC

2. Issuer Name and Ticker or Trading

Issuer

below)

Symbol

TORTOISE MLP FUND, INC.

(Check all applicable)

5. Relationship of Reporting Person(s) to

[NTG]

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director Officer (give title

X\_\_ 10% Owner Other (specify

SUN LIFE ASSURANCE CO OF CANADA, 150 KING STREET

WEST SUITE 1400

4. If Amendment, Date Original

Filed(Month/Day/Year)

10/24/2013

(Month/Day/Year)

07/31/2013

Applicable Line) \_X\_ Form filed by One Reporting Person

6. Individual or Joint/Group Filing(Check

Form filed by More than One Reporting

Person

TORONTO, A6 M5H 1J9

(City) (State) (Zip)

07/31/2013

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities Acquired (A) or TransactionDisposed of (D) Code (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

Ownership Form: Direct (D) or Indirect

of Indirect Beneficial Ownership (Instr. 4)

7. Nature

(Instr. 8)

Reported Transaction(s) (Instr. 3 and 4)

(I) (Instr. 4)

Code V (D) Price Amount

(A)

or

4.29%

Senior Notes Series D

J

1,000,000 A

13,000,000

See footnote. (1)

due

12/15/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474 (9-02)

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# displays a currently valid OMB control

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. orNumber	6. Date Exerc Expiration D		7. Title Amoun		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(mona, Day) Teal)	any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/ e		Underly Securiti (Instr. 3	ying les	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title N	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
. 6	Director	10% Owner	Officer	Other	
SUN LIFE FINANCIAL INC					
SUN LIFE ASSURANCE CO OF CANADA		X			
150 KING STREET WEST SUITE 1400	Λ				
TORONTO, A6 M5H 1J9					

## **Signatures**

Stephen C. Peacher, signer for Sun Life Financial Inc. and Sun Life and Health Insurance Company (U.S.)	
**Signature of Reporting Person	Date
John T. Donnelly, signer for Sun Life Financial Inc. and Sun Life and Health Insurance Company (U.S.)	11/21/2013

### \*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- \$12,000,000 directly owned by Sun Life Assurance Company of Canada, a wholly-owned subsidiary of Sun Life Financial Inc. and **(1)** \$1,000,000 directly owned by Sun Life and Health Insurance Company (U.S.), an indirectly wholly-owned subsidiary of Sun Life Financial Inc.

#### **Remarks:**

Sun Life and Health Insurance Company (U.S.), an indirectly wholly-owned subsidiary of Sun Life Financial Inc., purchased Sun Life and Health Insurance Company (U.S.), an indirectly wholly-owned subsidiary of Sun Life Financial Inc., purchased Sun Life Financia Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Date

Reporting Owners 2

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