### Edgar Filing: CENTRUE FINANCIAL CORP - Form 5

CENTRUE FINANCIAL CORP Form 5 February 08, 2 FORM

February 08, 2017						
FORM 5				OMB AP	PROVAL	-
	UNITED STATE	CD STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549			r: 3235-0362 .: January 31, 2005	
Check this box if no longer subject						
to Section 16. Form 4 or Form 5 obligations may continue.	ANNUAL S	TATEMENT OF CHANGES IN BEN OWNERSHIP OF SECURITIES	Estimated av burden hour response	verage	1.0	
See InstructionFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,1(b).Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or SectionReported30(h) of the Investment Company Act of 1940TransactionsReported						
1. Name and Address of Reporting Person <u>*</u> SOLON EVERETT J		2. Issuer Name <b>and</b> Ticker or Trading Symbol CENTRUE FINANCIAL CORP [CFCB]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	?irst) (Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2016	Director X Officer (give t below) MARKE		Owner r (specify T	
122 W. MADISO	N STREET					

(Street)

### OTTAWA, ILÂ 61350

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person

6. Individual or Joint/Group Reporting

(check applicable line)

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of (Month/Day/Year) Execution Date, if Transaction Indirect Security (A) or Disposed of Securities Ownership (Instr. 3) Code (D) Beneficially Form: Direct Beneficial any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end (D) or Ownership of Issuer's Indirect (I) (Instr. 4) Fiscal Year (Instr. 4) (A) (Instr. 3 and or 4) Price Amount (D) COMMON \$ Â Â 09/08/2016 **S**4 50 D 6,569 D STOCK 18.5 COMMON **BY 401K** Â Â Â Â Â Â 1,175.88 Ι STOCK **PLAN** COMMON Â Â Â Â Â Â 2,166 Ι **BY IRA** STOCK

4. If Amendment, Date Original

Filed(Month/Day/Year)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless

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#### the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Title and Am Underlying Sec (Instr. 3 and 4)	curities
					(A) (D)	Date Exercisable	Expiration Date	Title	Amour Numbe Shares
RESTRICTED STOCK UNITS	\$ 0	Â	Â	Â	Â	(1)	(1)	COMMON STOCK	1,886
PHANTOM STOCK	Â	Â	Â	Â	Â	(2)	(2)	COMMON STOCK	189.

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
1	Director	10% Owner	Officer	Other	
SOLON EVERETT J 122 W. MADISON STREET OTTAWA, IL 61350	Â	Â	MARKET PRESIDENT	Â	

## Signatures

/s/Everett J. Solon	02/08/2017		
<u>**</u> Signature of Reporting Person	Date		

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units granted to the reporting person under the Issuer's 2015 Stock Compensation Plan. Two-thirds of the restricted stock units are scheduled to vest on May 10, 2018 and one-third are scheduled to vest on May 10, 2019.
- (2) The shares of phantom stock become payable, in cash or common stock, at the election of the reporting person, upon the reporting person's termination of service.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.