

IANTOSCA JOSEPH R
Form 4
June 11, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
IANTOSCA JOSEPH R

2. Issuer Name and Ticker or Trading Symbol
OCEANFIRST FINANCIAL CORP
[OCFC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

110 WEST FRONT STREET

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
06/07/2018

____ Director
____ Officer (give title below) Other (specify below)
EVP, CAO, OceanFirst Bank

RED BANK, NJ 07701

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 06/07/2018 | | M | 10,125 A \$ 13.87 | 47,702 | D ⁽¹⁾ | |
| Common Stock | 06/07/2018 | | M | 10,125 A \$ 13.83 | 57,827 | D ⁽¹⁾ | |
| Common Stock | 06/07/2018 | | M | 11,250 A \$ 14.62 | 69,077 | D ⁽¹⁾ | |
| Common Stock | 06/07/2018 | | F | 20,303 D \$ 30.75 | 48,774 | D ⁽¹⁾ | |
| Common Stock | | | | | 19,770 | I | By 401(k) ⁽²⁾ |

| | | | |
|-----------------|--------|---|---------|
| Common Stock | 12,670 | I | BY ESOP |
|-----------------|--------|---|---------|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|--|---|---|--------------------------------------|--|--------|--|---------------------|---|--------|
| | | | | | V | (A) | (D) | Date Exercisable | Expiration Date | Title |
| Stock Option (right to buy) | \$ 13.87 | 06/07/2018 | | M | | 10,125 | 02/18/2012 | 02/18/2021 | Common Stock | 10,125 |
| Stock Option (right to buy) | \$ 13.83 | 06/07/2018 | | M | | 10,125 | 02/15/2013 | 02/15/2022 | Common Stock | 10,125 |
| Stock Option (right to buy) | \$ 14.62 | 06/07/2018 | | M | | 11,250 | 02/15/2014 | 02/15/2023 | Common Stock | 11,250 |
| Stock Option (right to buy) | \$ 14.55 | | | | | | 06/17/2014 | 06/17/2023 | Common Stock | 11,250 |
| Stock Option (right to buy) | \$ 17.75 | | | | | | 03/01/2015 | 03/19/2024 | Common Stock | 24,375 |
| Stock Option (right to buy) | \$ 17.37 | | | | | | 03/01/2016 | 03/18/2025 | Common Stock | 30,000 |

| | | | | | |
|--------------------------------------|----------|------------|------------|-----------------|--------|
| Stock Option (right to buy) | \$ 17.28 | 03/01/2017 | 03/16/2026 | Common Stock | 37,500 |
| Stock Option (right to buy) | \$ 29.01 | 03/01/2018 | 03/15/2027 | Common Stock | 45,000 |
| Stock Option (right to buy) | \$ 27.4 | 03/01/2019 | 01/24/2028 | Common Stock | 15,485 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| IANTOSCA JOSEPH R 110 WEST FRONT STREET RED BANK, NJ 07701 | | | EVP, CAO, OceanFirst Bank | |

Signatures

/s/ Steven J. Tsimbinos, Power of Attorney
06/11/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total includes shares of restricted Common stock that have not yet vested.
- (2) This form reflects increases in beneficial ownership resulting from exempt acquisitions pursuant to Rule 16b-3(c).
- (3) Options vest in five equal annual installments beginning on the date first exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.