YOUNG SAMUEL Form 3/A January 25, 2018

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement OCEANFIRST FINANCIAL CORP [OCFC]  **YOUNG SAMUEL** (Month/Day/Year) 12/01/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O OCEANFIRST 12/01/2016 (Check all applicable) BANK, 975 HOOPER AVE. (Street) 6. Individual or Joint/Group 10% Owner \_X\_\_ Director Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Person TOMS RIVER, NJÂ 08754 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â D Common Stock, par value \$0.01 per share 16,263 (1) (2) Common Stock, par value \$0.01 per share 5,068 (1) (2) (3) I By Deferred Compensation Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
	(Instr. 4)	Price of	Derivative	(Instr. 5)
	Expiration Date	Expiration Date Securities Underlying (Month/Day/Year) Derivative Security	Expiration Date Securities Underlying Conversion (Month/Day/Year) Derivative Security or Exercise	Expiration Date Securities Underlying Conversion Ownership  (Month/Day/Year) Derivative Security or Exercise Form of

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Date Title Expiration Amount or Derivative Security: Exercisable Number of Direct (D) Date Security or Indirect Shares (I) (Instr. 5) Common Option (right to buy) 08/18/2011 08/18/2020 16,434 <sup>(4)</sup> \$ 8.45 <sup>(4)</sup> D Â Stock

#### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

YOUNG SAMUEL
C/O OCEANFIRST BANK
975 HOOPER AVE.
TOMS RIVER, NJÂ 08754

### **Signatures**

/s/ Steven J. Tsimbinos, Power of Attorney

01/24/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - On November 30, 2016, pursuant to the Agreement and Plan of Merger, dated as of July 12, 2016 (the "Merger Agreement"), by and among OceanFirst Financial Corp. ("OceanFirst"), Ocean Shore Holding Co. ("Ocean Shore") and Masters Merger Sub. Corp., a wholly-owned subsidiary of OceanFirst ("Merger Sub"), Merger Sub merged (the "First-Step Merger") with and into Ocean Shore, with
- (1) Ocean Shore continuing as the surviving entity and, immediately thereafter, Ocean Shore merged with and into OceanFirst, with OceanFirst continuing as the surviving entity. At the effective time of the First-Step Merger (the "Effective Time"), each share of Ocean Shore common stock issued and outstanding immediately prior to such time was converted into the right to receive 0.9667 shares of OceanFirst common stock (the "Share Consideration") and \$4.35 in cash, without interest.
- (2) Represents the stock consideration that the reporting person was eligible to receive at the Effective Time.
- (3) Due to a clerical error, a number of Mr. Young's holdings were inadvertently omitted at the time of the original filing. This form is being filed to correct the number of shares Mr. Young was eligible to receive on December 1, 2016.
  - At the Effective Time, each option to purchase Ocean Shore common stock was converted into an option to purchase OceanFirst common stock on the same terms and conditions as were applicable immediately prior to the First-Step Merger, except that the number of shares of
- OceanFirst common stock issuable upon exercise of a converted Ocean Shore stock option was adjusted by multiplying the number of shares of Ocean Shore common stock that were subject to the Ocean Shore stock option by 1.2084 (and rounding down to the nearest whole share), and the exercise price per share of a converted option was adjusted by dividing the exercise price per share of Ocean Shore stock option by 1.2084 (and rounding up to the nearest whole scent).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2