**AMEREN CORP** Form 4 March 02, 2017

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

Common

Par Value

Stock, \$.01 03/01/2017

(Print or Type Responses)

1. Name and Address of Reporting Person \*

| Steinke Bruce A   |                                      |                                    |                    | Symbol                            | Symbol AMEREN CORP [AEE] 3. Date of Earliest Transaction (Month/Day/Year) 02/28/2017 |              |                              |             |  | (Check all applicable)  Director 10% Owner X Officer (give title Other (specify |           |  |  |  |
|---|--------------------------------------|------------------------------------|--------------------|-----------------------------------|--|--------------|------------------------------|-------------|--|---|-----------|--|--|--|
| (Last) (First) (Middle) P.O. BOX 66149  |                                      |                                    | (Month/D           |                                   |  |              |                              |             |  |   |           |  |  |  |
|   |                                      | (\$44)                             |                    | 4 16 4                            | 1  |              | 1                            |             |  | below) Finance & CAC  |           |  |  |  |
|   |                                      |                                    |                    |                                   | ndment, Da<br>nth/Day/Year   | U            | ıI                           |             | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person                  |   |           |  |  |  |
| ST. LOUIS, MO 63166-6149  |                                      |                                    |                    |                                   |  |              |                              |             |  | Form filed by More than One Reporting Person                                    |           |  |  |  |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Own |                                      |                                    |                    |                                   |  |              |                              |             | ly Owned   |   |           |  |  |  |
|   | 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction D<br>(Month/Day/Yea | ar) Executi<br>any | emed<br>on Date, if<br>/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8)  | (Instr. 3,   | ispose<br>4 and<br>(A)<br>or | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)            |           |  |  |  |
|   | Common<br>Stock, \$.01<br>Par Value  |                                    |                    |                                   |  |              |                              |             | 1,593 (1)  | I   | By 401(K) |  |  |  |
|   | Common<br>Stock, \$.01<br>Par Value  | 02/28/2017                         |                    |                                   | F  | 3,180<br>(2) | D                            | \$<br>54.69 | 31,015   | D   |           |  |  |  |
|   | ~                                    |                                    |                    |                                   |  |              |                              |             |  |   |           |  |  |  |

1,392 D

29,623

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $S^{(3)}$ 

#### Edgar Filing: AMEREN CORP - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | of Derivative Securities Acquired                       | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo |                         |
|---|---|---|---|--|---|--|--------------------|---|---|--|-------------------------|
|   |   |   |   |  | (A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number                              |  | Repo<br>Trans<br>(Instr |
|   |   |   |   | Code V                                 | (A) (D)   |  |                    |   | Shares  |  |                         |

### **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |
|----------------------------------|---------------|
| Reporting Owner Traine / Address |               |

Director 10% Owner Officer Other

Steinke Bruce A SVP,
P.O. BOX 66149 Finance &
ST. LOUIS, MO 63166-6149 CAO

#### **Signatures**

Jonathan T. Shade, Asst. Secy. for Ameren Corporation, attorney-in-fact for Bruce A. Steinke

03/02/2017

\*\*Signature of Reporting Persor

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amount includes a total of 8 shares acquired monthly in January and February 2017 at prices ranging from \$52.19 to \$54.59 per share.
- (2) Shares withheld by the Issuer to satisfy tax withholding obligations arising in connection with the vesting of performance share units.
- (3) The sale reported was made pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2